



## Monthly Financial Report

*For the Month Ended September 30, 2020*

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# City of Aspen Year-to-Date Financials

## **Overview**

As required by section 9.13(c) of the City of Aspen Municipal Charter, this month end financial status report provides a snapshot of the City's budgetary and investment status.

This report is intended as a policy-level document for overall review of the City's fiscal condition and how that condition relates to major budget issues. It is intended for the use of the City's agency and department directors and key staff in each department with budget management responsibilities. As indicated by the charter section noted above, it is also intended for use by the Council.

## **How To Use This Document**

As noted in the table of contents, this report is presented in fund number order. Therefore, departmental information may be found in more than one place. The Streets Department, for example, will find its financial information in two places. The General Fund section includes the Streets Department's operations. The Asset Management Fund includes capital programs in process for the Streets Department.

This report provides summarized financial information. More detailed information is available for each department through the City's financial system. Detailed revenue and expenditure reports and balance sheets can be printed out by fund or by transaction. If assistance is needed in accessing this information, Finance Department staff can assist in finding the information.

## **Financial Summary**

This report provides a preliminary year-to-date assessment of the City's budgetary condition. Major revenue sources as well as year-to-date expenditures for all funds are presented on the modified accrual basis.

The following two pages provide a summary of the year-to-date revenues and expenditures versus budget. Where current revenues are exceeded by appropriation authority, sufficient unrestricted beginning cash balances exist and have been approved for use by City Council to cover these costs.

City of Aspen  
Year-to-Date Financials: Sep-20  
Revenues: All Funds

Fund	Current Budget	Actual	Remaining Balance	Actuals as Percent of Budget
001-General Fund	30,491,550	23,856,568	6,634,982	78%
100-Parks and Open Space Fund	9,137,810	8,251,380	886,430	90%
120-Wheeler Opera House Fund	3,608,450	6,318,811	(2,710,361)	175%
130-Tourism Promotion Fund	1,752,710	1,643,713	108,997	94%
131-Public Education Fund	2,096,990	1,484,947	612,043	71%
132-REMP Fund	914,010	576,963	337,047	63%
141-Transportation Fund	2,328,990	1,927,811	401,179	83%
150-Housing Development Fund	15,628,160	12,828,257	2,799,903	82%
152-Kids First Fund	1,655,325	1,406,430	248,895	85%
160-Stormwater Fund	1,436,490	1,519,665	(83,175)	106%
000-Asset Management Plan Fund	3,805,420	3,693,560	111,860	97%
250-Debt Service Fund	197,560	139,495	58,065	71%
421-Water Utility Fund	9,462,820	8,953,253	509,567	95%
431-Electric Utility Fund	8,850,050	6,933,166	1,916,884	78%
451-Parking Fund	3,369,190	2,802,451	566,739	83%
471-Golf Course Fund	1,260,100	2,062,431	(802,331)	164%
491-Truscott I Housing Fund	1,301,400	848,027	453,373	65%
492-Marolt Housing Fund	1,453,120	641,094	812,026	44%
501-Employee Benefits Fund	5,538,880	4,533,015	1,005,865	82%
505-Employee Housing Fund	180,040	213,736	(33,696)	119%
510-Information Technology Fund	272,140	102,918	169,222	38%
990-Pooled Cash Fund	0	4,368,397	(4,368,397)	0%
<b>Revenues</b>	<b>104,741,205</b>	<b>95,106,087</b>	<b>9,635,118</b>	<b>91%</b>
001-General Fund	5,606,240	1,579,680	4,026,560	28%
100-Parks and Open Space Fund	340,400	255,300	85,100	75%
120-Wheeler Opera House Fund	284,770	118,654	166,116	42%
141-Transportation Fund	1,750,000	1,312,500	437,500	75%
150-Housing Development Fund	1,700,000	1,275,000	425,000	75%
000-Asset Management Plan Fund	5,807,260	2,419,692	3,387,568	42%
250-Debt Service Fund	6,046,550	854,679	5,191,871	14%
431-Electric Utility Fund	212,900	88,708	124,192	42%
451-Parking Fund	30,000	22,500	7,500	75%
471-Golf Course Fund	462,240	344,647	117,593	75%
491-Truscott I Housing Fund	1,100,000	825,000	275,000	75%
505-Employee Housing Fund	599,080	249,617	349,463	42%
510-Information Technology Fund	2,097,600	1,573,200	524,400	75%
<b>Transfers In</b>	<b>26,037,040</b>	<b>10,919,176</b>	<b>15,117,864</b>	<b>42%</b>
<b>Total Revenues</b>	<b>130,778,245</b>	<b>106,025,263</b>	<b>24,752,982</b>	<b>81%</b>

City of Aspen  
Year-to-Date Financials: Sep-20  
Expenditures: All Funds

Fund	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
001-General Fund	35,352,950	922,769	23,125,642	11,304,538	68%
100-Parks and Open Space Fund	7,820,972	121,484	5,272,786	2,426,702	69%
120-Wheeler Opera House Fund	5,987,891	945,362	3,758,573	1,283,957	79%
130-Tourism Promotion Fund	2,050,900	334,882	1,716,018	0	100%
131-Public Education Fund	2,097,000	0	1,208,637	888,363	58%
132-REMP Fund	1,800,000	511,250	818,750	470,000	74%
141-Transportation Fund	3,045,060	679,841	1,133,349	1,231,870	60%
150-Housing Development Fund	29,513,837	11,988,348	8,072,483	9,453,006	68%
152-Kids First Fund	3,008,740	164,295	1,268,621	1,575,824	48%
160-Stormwater Fund	1,133,717	16,202	466,585	650,930	43%
000-Asset Management Plan Fund	32,118,236	16,017,971	11,078,660	5,021,605	84%
250-Debt Service Fund	6,238,030	0	1,606,686	4,631,344	26%
421-Water Utility Fund	10,392,085	2,274,312	4,683,053	3,434,720	67%
431-Electric Utility Fund	10,685,205	2,024,396	4,871,851	3,788,959	65%
451-Parking Fund	2,236,953	163,142	1,287,682	786,129	65%
471-Golf Course Fund	1,744,875	105,323	1,386,749	252,804	86%
491-Truscott I Housing Fund	2,461,787	72,126	684,565	1,705,095	31%
492-Marolt Housing Fund	971,400	98,208	509,363	363,828	63%
501-Employee Benefits Fund	5,806,600	38,238	3,632,389	2,135,973	63%
505-Employee Housing Fund	1,088,185	197,577	434,138	456,470	58%
510-Information Technology Fund	2,226,510	32,144	1,021,936	1,172,430	47%
607-Drug Recovery Agency Fund	0	25	25,475	(25,500)	0%
<b>Expenditures</b>	<b>167,780,933</b>	<b>36,707,895</b>	<b>78,063,992</b>	<b>53,009,046</b>	<b>68%</b>
001-General Fund	1,339,000	0	1,004,250	334,750	75%
100-Parks and Open Space Fund	1,346,700	0	1,010,025	336,675	75%
120-Wheeler Opera House Fund	518,400	0	388,800	129,600	75%
141-Transportation Fund	423,900	0	317,925	105,975	75%
150-Housing Development Fund	894,600	0	670,950	223,650	75%
152-Kids First Fund	238,800	0	179,100	59,700	75%
160-Stormwater Fund	211,700	0	158,775	52,925	75%
421-Water Utility Fund	1,010,700	0	758,025	252,675	75%
431-Electric Utility Fund	460,300	0	345,225	115,075	75%
451-Parking Fund	503,200	0	377,400	125,800	75%
471-Golf Course Fund	192,300	0	144,225	48,075	75%
491-Truscott I Housing Fund	127,000	0	95,250	31,750	75%
492-Marolt Housing Fund	50,100	0	37,575	12,525	75%
510-Information Technology Fund	202,200	0	151,650	50,550	75%
<b>Overhead and Housing Allocations</b>	<b>7,518,900</b>	<b>0</b>	<b>5,639,175</b>	<b>1,879,725</b>	<b>75%</b>
001-General Fund	3,015,170	0	694,621	2,320,549	23%
100-Parks and Open Space Fund	3,810,660	0	781,026	3,029,634	20%
120-Wheeler Opera House Fund	3,537,720	0	18,717	3,519,003	1%
132-REMP Fund	145,400	0	109,050	36,350	75%
141-Transportation Fund	2,182,700	0	934,005	1,248,695	43%
150-Housing Development Fund	1,276,100	0	893,110	382,990	70%

City of Aspen  
Year-to-Date Financials: Sep-20  
Expenditures: All Funds

Fund	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
152-Kids First Fund	29,310	0	16,382	12,928	56%
160-Stormwater Fund	94,120	0	24,174	69,946	26%
421-Water Utility Fund	1,836,820	0	1,193,342	643,478	65%
431-Electric Utility Fund	684,720	0	503,783	180,937	74%
451-Parking Fund	5,492,220	0	2,872,092	2,620,128	52%
471-Golf Course Fund	35,060	0	20,878	14,182	60%
491-Truscott I Housing Fund	2,970	0	1,238	1,733	42%
492-Marolt Housing Fund	1,702,770	0	1,276,154	426,616	75%
510-Information Technology Fund	93,700	0	7,404	86,296	8%
<b>Transfers Out</b>	<b>23,939,440</b>	<b>0</b>	<b>9,345,976</b>	<b>14,593,464</b>	<b>39%</b>
<hr/>					
<b>Total Expenditures</b>	<b>199,239,273</b>	<b>36,707,895</b>	<b>93,049,143</b>	<b>69,482,235</b>	<b>65%</b>

## General Governmental Funds

000 - Asset Management Plan Fund

001 - General Fund

250 - Debt Service Fund

City of Aspen  
Year-to-Date Financials: Sep-20  
000-Asset Management Plan Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
41111-Property tax	\$3,540,000	\$0	\$3,533,931	\$6,069	100%
45610-Miscellaneous revenue	\$0	\$0	\$9,970	(\$9,970)	0%
46111-Pooled cash investment income	\$265,420	\$0	\$0	\$265,420	0%
46119-Other interest income	\$0	\$0	\$149,659	(\$149,659)	0%
<b>General Revenue</b>	<b>\$3,805,420</b>	<b>\$0</b>	<b>\$3,693,560</b>	<b>\$111,860</b>	<b>97%</b>
<b>Total Revenues</b>	<b>\$3,805,420</b>	<b>\$0</b>	<b>\$3,693,560</b>	<b>\$111,860</b>	<b>97%</b>
64141-Transfer from Transportation Fund	\$45,000	\$0	\$18,750	\$26,250	42%
64141-Transfer from Transportation Fund	\$2,018,010	\$0	\$840,838	\$1,177,173	42%
64451-Transfer from Parking Fund	\$3,709,250	\$0	\$1,545,521	\$2,163,729	42%
64141-Transfer from Transportation Fund	\$35,000	\$0	\$14,583	\$20,417	42%
<b>Transfers In</b>	<b>\$5,807,260</b>	<b>\$0</b>	<b>\$2,419,692</b>	<b>\$3,387,568</b>	<b>42%</b>
<b>Total Revenues and Transfers</b>	<b>\$9,612,680</b>	<b>\$0</b>	<b>\$6,113,252</b>	<b>\$3,499,428</b>	<b>64%</b>
000-Non-Classified	\$70,800	\$0	\$70,809	(\$9)	100%
<b>Operating Expenditures</b>	<b>\$70,800</b>	<b>\$0</b>	<b>\$70,809</b>	<b>(\$9)</b>	<b>100%</b>
118-Information Technology	\$253,200	\$0	\$0	\$253,200	0%
119-Asset Management	\$28,831,329	\$15,554,364	\$9,775,184	\$3,501,781	34%
122-Planning	\$44,262	\$0	\$19,263	\$25,000	44%
221-Police	\$144,255	\$9,793	\$21,263	\$113,200	15%
321-Streets	\$237,600	\$0	\$237,600	\$0	100%
327-Engineering	\$1,839,146	\$391,231	\$838,806	\$609,110	46%
542-Recreation	\$597,644	\$62,584	\$115,737	\$419,324	19%
552-Red Brick Arts	\$100,000	\$0	\$0	\$100,000	0%
<b>Capital Expenditures</b>	<b>\$32,047,436</b>	<b>\$16,017,971</b>	<b>\$11,007,851</b>	<b>\$5,021,614</b>	<b>34%</b>
<b>Total Expenditures</b>	<b>\$32,118,236</b>	<b>\$16,017,971</b>	<b>\$11,078,660</b>	<b>\$5,021,605</b>	<b>34%</b>
<b>Total Expenditures and Transfers</b>	<b>\$32,118,236</b>	<b>\$16,017,971</b>	<b>\$11,078,660</b>	<b>\$5,021,605</b>	<b>34%</b>
<b>Net Change in Fund Balance</b>	<b>(\$22,505,556)</b>		<b>(\$4,965,409)</b>		



# City of Aspen

## Year-to-Date Financials: Sep-20

### 000-Asset Management Plan Fund: Project List

	Current Budget	Obligation	Actual	Total	Remaining Balance	Actuals as Percent of Budget
51059-51059 Core City Network - AMP - 2019	195,700	0	0	0	195,700	0%
51301-51301 Core City Network - AMP - 2020	57,500	0	0	0	57,500	0%
50064-50064_APD Project	9,758	0	7,221	7,221	2,537	74%
50074-50074_Pedestrian Mall - Planning and Design (ONLY)	979,782	454,202	535,276	989,478	(9,696)	101%
51112-51112 City Offices - 425 Rio Grande	26,307,409	14,976,580	9,156,484	24,133,064	2,174,345	92%
51113-51113 City Offices - Armory Renovation	200,000	99,202	23,304	122,506	77,494	61%
51114-51114 City Offices - Existing Rio Grande Building	1,200,000	0	0	0	1,200,000	0%
51120-51120 Rio Grande Place Road Base Improvement	24,380	24,380	0	24,380	0	100%
51246-51246 Facility Maintenance - 2020	100,000	0	42,899	42,899	57,101	43%
51247-51247 Animal Shelter Maintenance - 2020	10,000	0	10,000	10,000	0	100%
50004-50004_Small Lodge Right of Way Improvements	25,000	0	0	0	25,000	0%
50259-50259_Electronic Permitting System	19,262	0	19,263	19,263	(1)	100%
50829-50829 Electrical Restraint Device	31,055	9,793	21,263	31,055	0	100%
51012-51012 Dispatch Center Equipment - 2019	113,200	0	0	0	113,200	0%
51014-51014 Fleet - 2019	237,600	0	237,600	237,600	0	100%
50355-50355 AIG Sewer Liner	134,000	0	19,832	19,832	114,168	15%
50486-50486 Garmisch Bus Stop and Pedestrian Improvements	423,365	257,508	83,298	340,806	82,559	80%
50487-50487 Hallam Street Roadway Improvements at Yellow Brick Building	65,000	0	0	0	65,000	0%
50488-50488 Ute Avenue Traffic Calming and Trail Connection	127,500	0	0	0	127,500	0%
50842-50842 City Survey Monument Maintenance	8,961	0	0	0	8,961	0%
50844-50844 Phase 1 Main St Improvements to signals with CDOT Coordination	40,000	0	0	0	40,000	0%
50903-50903 Summer Road Improvements - 2019	22,000	0	0	0	22,000	0%
50913-50913 Midland Ave to Park One-way	12,000	0	3,603	3,603	8,397	30%
50920-50920 Hallam Street Bike Boulevard	16,000	0	0	0	16,000	0%
50922-50922 HWY 82 Roundabout Evaluation	128,000	42,584	33,842	76,426	51,574	60%
51251-51251 Concrete Replacement - 2020	631,900	56,444	557,119	613,563	18,337	97%
51252-51252 GIS Aerial Photography - 2020	99,440	34,695	64,745	99,440	0	100%
51257-51257 CDOT Joint Project on Concrete Roundabout Design and Construction	55,000	0	0	0	55,000	0%
51304-51304 Airport Signal Repair	75,980	0	76,365	76,365	(385)	101%
50360-50360 AIG Cooling Tower replacement	84,728	0	0	0	84,728	0%
50406-50406 Pool Replaster	100,000	55,160	54,160	109,320	(9,320)	109%
50407-50407 Pool Slide Stairs Replacement	100,000	0	3,033	3,033	96,967	3%
50797-50797 ARC Siding	10,522	0	0	0	10,522	0%
50945-50945 Sound Baffling - 2019	44,617	0	0	0	44,617	0%
50952-50952 ARC Downstair Remodel	100,000	0	7,692	7,692	92,308	8%
50956-50956 Water Feature Replacement - 2019	69,277	7,420	7,420	14,840	54,437	21%
51204-51204 Upgrades to Technology - 2020	20,000	4	5,511	5,514	14,486	28%
51206-51206 Zamboni Battery Replacements - 2020	12,000	0	0	0	12,000	0%
51207-51207 Gymnastics Mats - 2020	1,500	0	0	0	1,500	0%
51212-51212 Training Room ARC Remodel Phase 3	50,000	0	32,161	32,161	17,839	64%
51218-51218 AIG & LIA Compressor Overhauls - 2020	5,000	0	5,760	5,760	(760)	115%
51270-51270 Design and Implementation of Improvements to Red Brick Arts Public S	100,000	0	0	0	100,000	0%
	<b>32,047,436</b>	<b>16,017,971</b>	<b>11,007,851</b>	<b>27,025,822</b>	<b>5,021,614</b>	<b>84%</b>

City of Aspen  
Year-to-Date Financials: Sep-20  
001-General Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
41111-Property tax	\$4,326,000	\$0	\$4,315,612	\$10,388	100%
41121-Property tax - delinquent	\$1,000	\$0	\$0	\$1,000	0%
41151-Specific ownership tax	\$160,000	\$0	\$187,316	(\$27,316)	117%
41211-County sales tax share	\$8,893,000	\$0	\$6,476,719	\$2,416,281	73%
41281-City Tobacco Tax	\$275,000	\$0	\$237,834	\$37,166	86%
41811-Liquor occupation tax	\$112,200	\$0	\$70,519	\$41,681	63%
41821-Cable franchise tax	\$326,400	\$0	\$74,459	\$251,941	23%
41822-Natural Gas franchise tax	\$204,000	\$0	\$137,158	\$66,842	67%
41823-Phone franchise tax	\$30,600	\$0	\$17,271	\$13,329	56%
41824-Electric franchise tax	\$346,800	\$0	\$151,192	\$195,608	44%
41825-PEG capital contribution	\$16,320	\$0	\$3,681	\$12,639	23%
41831-Business occupation tax	\$479,400	\$0	\$475,511	\$3,889	99%
43512-Retail marijuana tax	\$143,400	\$0	\$69,555	\$73,845	49%
43811-Treasurer's interest	\$0	\$0	\$15,317	(\$15,317)	0%
45530-Refund of expenditures - Other	\$5,644,800	\$0	\$4,233,600	\$1,411,200	75%
45610-Miscellaneous revenue	\$0	\$0	\$3,835	(\$3,835)	0%
46111-Pooled cash investment income	\$304,060	\$0	\$0	\$304,060	0%
<b>Non-Classified Revenue</b>	<b>\$21,262,980</b>	<b>\$0</b>	<b>\$16,469,578</b>	<b>\$4,793,402</b>	<b>77%</b>
113-Clerks Office	\$63,000	\$0	\$47,592	\$15,408	76%
114-Managers Office	\$19,020	\$0	\$3,136	\$15,884	16%
116-Attorney	\$0	\$0	\$210	(\$210)	0%
117-Finance	\$122,660	\$0	\$55,333	\$67,327	45%
119-Asset Management	\$46,360	\$0	\$72,992	(\$26,632)	157%
122-Planning	\$759,500	\$0	\$529,936	\$229,564	70%
123-Building	\$4,600,000	\$0	\$4,046,622	\$553,378	88%
221-Police	\$172,600	\$0	\$110,185	\$62,415	64%
321-Streets	\$486,540	\$0	\$339,782	\$146,758	70%
327-Engineering	\$1,071,280	\$0	\$1,065,602	\$5,678	99%
431-Environmental Health	\$111,150	\$0	\$45,910	\$65,240	41%
532-Events	\$45,900	\$0	\$19,425	\$26,475	42%
542-Recreation	\$1,263,060	\$0	\$743,519	\$519,541	59%
552-Red Brick Arts	\$467,500	\$0	\$306,746	\$160,754	66%
<b>Department Specific Revenue</b>	<b>\$9,228,570</b>	<b>\$0</b>	<b>\$7,386,990</b>	<b>\$1,841,580</b>	<b>80%</b>
<b>Total Revenues</b>	<b>\$30,491,550</b>	<b>\$0</b>	<b>\$23,856,568</b>	<b>\$6,634,982</b>	<b>78%</b>
64100-Transfer from Parks Fund	\$1,960	\$0	\$1,470	\$490	75%
64120-Transfer from Wheeler Fund	\$3,500,000	\$0	\$0	\$3,500,000	0%
64132-Transfer from REMP Fund	\$145,400	\$0	\$109,050	\$36,350	75%
64141-Transfer from Transportation Fund	\$7,410	\$0	\$5,558	\$1,853	75%
64152-Transfer from Daycare Fund	\$6,450	\$0	\$4,838	\$1,613	75%
64160-Transfer from Stormwater Fund	\$25,110	\$0	\$18,833	\$6,278	75%
64421-Transfer from Water Fund	\$1,134,000	\$0	\$850,500	\$283,500	75%
64431-Transfer from Electric Fund	\$655,450	\$0	\$491,587	\$163,863	75%
64451-Transfer from Parking Fund	\$1,000	\$0	\$750	\$250	75%
64471-Transfer from Golf Fund	\$5,710	\$0	\$4,282	\$1,428	75%

City of Aspen  
Year-to-Date Financials: Sep-20  
001-General Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
64510-Transfer from IT Fund	\$1,000	\$0	\$750	\$250	75%
64120-Transfer from Wheeler Fund	\$9,000	\$0	\$6,750	\$2,250	75%
64100-Transfer from Parks Fund	\$36,360	\$0	\$27,270	\$9,090	75%
64141-Transfer from Transportation Fund	\$66,230	\$0	\$49,673	\$16,557	75%
64150-Transfer from Affordable Housing Fund	\$5,100	\$0	\$3,825	\$1,275	75%
64152-Transfer from Daycare Fund	\$6,060	\$0	\$4,545	\$1,515	75%
<b>Transfers In</b>	<b>\$5,606,240</b>	<b>\$0</b>	<b>\$1,579,680</b>	<b>\$4,026,560</b>	<b>28%</b>
<b>Total Revenues and Transfers</b>	<b>\$36,097,790</b>	<b>\$0</b>	<b>\$25,436,248</b>	<b>\$10,661,542</b>	<b>70%</b>
000-Non-Classified	\$86,520	\$0	\$86,507	\$13	100%
112-Mayor and Council	\$5,328,990	\$65,570	\$4,492,396	\$771,024	84%
113-Clerks Office	\$690,410	\$36,494	\$461,538	\$192,378	67%
114-Managers Office	\$2,256,150	\$5,587	\$1,112,047	\$1,138,516	49%
115-Human Resources	\$871,550	\$22,896	\$469,779	\$378,876	54%
116-Attorney	\$597,110	\$19,498	\$357,873	\$219,739	60%
117-Finance	\$2,307,300	\$96,373	\$1,526,829	\$684,099	66%
119-Asset Management	\$1,801,560	\$190,224	\$1,314,098	\$297,239	73%
122-Planning	\$2,029,680	\$24,061	\$1,138,809	\$866,810	56%
123-Building	\$1,816,740	\$53,223	\$1,313,215	\$450,302	72%
221-Police	\$5,387,180	\$38,301	\$3,819,457	\$1,529,422	71%
321-Streets	\$2,270,590	\$89,880	\$1,242,689	\$938,020	55%
325-Conservation, Efficiency, Renewables	\$555,240	\$2,021	\$334,785	\$218,434	60%
327-Engineering	\$2,153,280	\$47,501	\$1,494,168	\$611,611	69%
431-Environmental Health	\$1,005,620	\$10,199	\$570,930	\$424,492	57%
532-Events	\$894,190	\$42,112	\$399,977	\$452,102	45%
542-Recreation	\$4,317,843	\$120,082	\$2,489,368	\$1,708,393	58%
552-Red Brick Arts	\$536,030	\$54,403	\$315,616	\$166,011	59%
572-Parks and Open Space	\$235,960	\$0	\$127,601	\$108,359	54%
592-Business Services	\$211,007	\$4,344	\$57,961	\$148,702	27%
<b>Total Expenditures</b>	<b>\$35,352,950</b>	<b>\$922,769</b>	<b>\$23,125,642</b>	<b>\$11,304,538</b>	<b>65%</b>
61120-IT overhead	\$1,339,000	\$0	\$1,004,250	\$334,750	75%
65100-Transfer to Parks Fund	\$177,300	\$0	\$132,975	\$44,325	75%
65250-Transfer to Debt Service Fund	\$2,510,280	\$0	\$415,150	\$2,095,130	17%
65451-Transfer to Parking Fund	\$30,000	\$0	\$22,500	\$7,500	75%
65505-Transfer to Employee Housing Fund	\$297,590	\$0	\$123,996	\$173,594	42%
<b>Transfers Out</b>	<b>\$4,354,170</b>	<b>\$0</b>	<b>\$1,698,871</b>	<b>\$2,655,299</b>	<b>39%</b>
<b>Total Expenditures and Transfers</b>	<b>\$39,707,120</b>	<b>\$922,769</b>	<b>\$24,824,513</b>	<b>\$13,959,837</b>	<b>63%</b>
<b>Net Change to Fund Balance</b>	<b>(\$3,609,330)</b>		<b>\$611,735</b>		

City of Aspen  
Year-to-Date Financials: Sep-20  
250-Debt Service Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
46111-Pooled cash investment income	\$6,080	\$0	\$0	\$6,080	0%
46229-Other facility rentals	\$191,480	\$0	\$139,495	\$51,985	73%
<b>Lease Revenues</b>	<b>\$197,560</b>	<b>\$0</b>	<b>\$139,495</b>	<b>\$58,065</b>	<b>71%</b>
<b>Total Revenues</b>	<b>\$197,560</b>	<b>\$0</b>	<b>\$139,495</b>	<b>\$58,065</b>	<b>71%</b>
64001-Transfer from General Fund	\$2,510,280	\$0	\$415,150	\$2,095,130	17%
64100-Transfer from Parks Fund	\$3,232,350	\$0	\$375,244	\$2,857,106	12%
64150-Transfer from Affordable Housing Fund	\$171,000	\$0	\$64,285	\$106,715	38%
64160-Transfer from Stormwater Fund	\$56,190	\$0	\$0	\$56,190	0%
64510-Transfer from IT Fund	\$76,730	\$0	\$0	\$76,730	0%
<b>Transfers In</b>	<b>\$6,046,550</b>	<b>\$0</b>	<b>\$854,679</b>	<b>\$5,191,871</b>	<b>14%</b>
<b>Total Revenues and Transfers</b>	<b>\$6,244,110</b>	<b>\$0</b>	<b>\$994,173</b>	<b>\$5,249,937</b>	<b>16%</b>
91001-2007 COP - ISIS	\$191,480	\$0	\$190,033	\$1,447	99%
91004-2009 STRR Bonds - Parks	\$822,300	\$0	\$30,900	\$791,400	4%
91007-2012 STRR Bonds - Parks	\$1,520,050	\$0	\$44,900	\$1,475,150	3%
91008-2012 STR Bonds - Parks	\$154,970	\$0	\$77,356	\$77,614	50%
91009-2013 STRR Bonds - Parks	\$312,630	\$0	\$156,438	\$156,193	50%
91010-2014 STRR Bonds - Parks	\$41,100	\$0	\$20,400	\$20,700	50%
91011-2014 STR Bonds - Parks	\$381,300	\$0	\$45,500	\$335,800	12%
91013-2017 COPs - Police Department	\$1,155,800	\$0	\$416,209	\$739,591	36%
91014-2019 COPs - CITY HALL	\$1,658,400	\$0	\$624,950	\$1,033,450	38%
<b>Total Expenditures</b>	<b>\$6,238,030</b>	<b>\$0</b>	<b>\$1,606,686</b>	<b>\$4,631,344</b>	<b>26%</b>
<b>Total Expenditures and Transfers</b>	<b>\$6,238,030</b>	<b>\$0</b>	<b>\$1,606,686</b>	<b>\$4,631,344</b>	<b>26%</b>
<b>Net Change in Fund Balance</b>	<b>\$6,080</b>		<b>(\$612,512)</b>		

## Special Revenue Funds

- 100 - Parks and Open Space Fund
- 120 - Wheeler Opera House Fund
- 130 - Tourism Promotion Fund
- 131 - Public Education Fund
- 132 - REMP Fund
- 141 - Transportation Fund
- 150 - Housing Development Fund
- 152 - Kids First Fund
- 160 - Stormwater Fund

City of Aspen  
Year-to-Date Financials: Sep-20  
100-Parks and Open Space Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
41222-Sales tax	\$5,341,400	\$0	\$4,924,296	\$417,104	92%
41225-Sales tax - sunset	\$2,670,600	\$0	\$2,461,557	\$209,043	92%
41232-Penalty on sales tax	\$0	\$0	\$38,589	(\$38,589)	0%
42234-Rafting permits	\$1,600	\$0	\$1,200	\$400	75%
43531-CO lottery revenue	\$70,310	\$0	\$56,445	\$13,865	80%
44131-Land use review	\$45,000	\$0	\$54,461	(\$9,461)	121%
44886-Event flooring rental	\$2,040	\$0	\$0	\$2,040	0%
45530-Refund of expenditures - Other	\$10,200	\$0	\$361	\$9,839	4%
45610-Miscellaneous revenue	\$0	\$0	(\$571)	\$571	0%
46111-Pooled cash investment income	\$94,880	\$0	\$0	\$94,880	0%
<b>Non-Classified Revenue</b>	<b>\$8,236,030</b>	<b>\$0</b>	<b>\$7,536,339</b>	<b>\$699,691</b>	<b>92%</b>
57220-Tree Program	\$204,000	\$0	\$575,718	(\$371,718)	282%
57320-Nordic Maintenance	\$330,580	\$0	\$0	\$330,580	0%
57410-Parks Maintenance	\$346,800	\$0	\$138,498	\$208,302	40%
57420-Cozy Point	\$20,400	\$0	\$800	\$19,600	4%
81200-Capital Projects	\$0	\$0	\$25	(\$25)	0%
<b>Program Specific Revenue</b>	<b>\$901,780</b>	<b>\$0</b>	<b>\$715,041</b>	<b>\$186,739</b>	<b>79%</b>
<b>Total Revenues</b>	<b>\$9,137,810</b>	<b>\$0</b>	<b>\$8,251,380</b>	<b>\$886,430</b>	<b>90%</b>
64001-Transfer from General Fund	\$177,300	\$0	\$132,975	\$44,325	75%
64421-Transfer from Water Fund	\$150,000	\$0	\$112,500	\$37,500	75%
64471-Transfer from Golf Fund	\$13,100	\$0	\$9,825	\$3,275	75%
<b>Transfers In</b>	<b>\$340,400</b>	<b>\$0</b>	<b>\$255,300</b>	<b>\$85,100</b>	<b>75%</b>
<b>Total Revenues and Transfers</b>	<b>\$9,478,210</b>	<b>\$0</b>	<b>\$8,506,680</b>	<b>\$971,530</b>	<b>90%</b>
00000-Non-Classified	\$52,480	\$0	\$1,875	\$50,605	4%
10010-General Administrative	\$681,570	\$192	\$582,248	\$99,130	85%
10040-Sales Tax Refunds	\$121,300	\$0	\$139,015	(\$17,715)	115%
10050-Minor Capital Outlay	\$14,080	\$2,273	\$2,631	\$9,176	19%
10070-Business Services	\$233,070	\$6,315	\$124,624	\$102,131	53%
11929-Parks Department Campus	\$164,080	\$9,935	\$114,888	\$39,257	70%
11999-Other Facility / Maintenance	\$61,800	\$0	\$19,735	\$42,065	32%
57110-Clean Team, Alleyway Snow Removal	\$221,040	\$0	\$203,451	\$17,589	92%
57210-Open Space Management	\$184,350	\$939	\$129,078	\$54,333	70%
57220-Tree Program	\$310,300	\$0	\$223,031	\$87,269	72%
57310-Trails Maintenance	\$495,240	\$333	\$300,626	\$194,281	61%
57320-Nordic Maintenance	\$284,440	\$3,884	\$163,389	\$117,167	57%
57410-Parks Maintenance	\$2,195,650	\$92,083	\$1,413,436	\$690,131	64%
57420-Cozy Point	\$146,360	\$0	\$103,145	\$43,215	70%
59020-Parks Grants	\$33,000	\$0	\$33,000	\$0	100%
81100-Capital Labor	\$767,720	\$0	\$566,836	\$200,884	74%
81200-Capital Projects	\$1,854,492	\$5,529	\$1,151,778	\$697,185	62%
<b>Total Expenditures</b>	<b>\$7,820,972</b>	<b>\$121,484</b>	<b>\$5,272,786</b>	<b>\$2,426,702</b>	<b>67%</b>
61110-General fund overhead	\$1,137,500	\$0	\$853,125	\$284,375	75%
61120-IT overhead	\$209,200	\$0	\$156,900	\$52,300	75%
65001-Transfer to General Fund	\$38,320	\$0	\$28,740	\$9,580	75%
65250-Transfer to Debt Service Fund	\$3,232,350	\$0	\$375,244	\$2,857,106	12%
65471-Transfer to Golf Fund	\$462,240	\$0	\$344,647	\$117,593	75%
65505-Transfer to Employee Housing Fund	\$77,750	\$0	\$32,396	\$45,354	42%
<b>Transfers Out</b>	<b>\$5,157,360</b>	<b>\$0</b>	<b>\$1,791,051</b>	<b>\$3,366,309</b>	<b>35%</b>

City of Aspen  
Year-to-Date Financials: Sep-20  
100-Parks and Open Space Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
Total Expenditures and Transfers	\$12,978,332	\$121,484	\$7,063,837	\$5,793,011	54%
Net Change in Fund Balance	(\$3,500,122)		\$1,442,843		

City of Aspen  
Year-to-Date Financials: Sep-20  
120-Wheeler Opera House Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
41410-Real estate transfer tax - sunset	\$1,981,000	\$0	\$5,946,461	(\$3,965,461)	300%
45610-Miscellaneous revenue	\$0	\$0	\$1	(\$1)	0%
46111-Pooled cash investment income	\$597,070	\$0	\$0	\$597,070	0%
<b>Non-Classified Revenue</b>	<b>\$2,578,070</b>	<b>\$0</b>	<b>\$5,946,462</b>	<b>(\$3,368,392)</b>	<b>231%</b>
11918-Wheeler - Rental Spaces	\$214,130	\$0	\$81,671	\$132,459	38%
51110-Presented Events	\$543,500	\$0	\$238,001	\$305,499	44%
51120-Rental Events	\$115,250	\$0	\$25,589	\$89,661	22%
51130-Box Office	\$157,500	\$0	\$27,087	\$130,413	17%
<b>Program Specific Revenue</b>	<b>\$1,030,380</b>	<b>\$0</b>	<b>\$372,349</b>	<b>\$658,031</b>	<b>36%</b>
<b>Total Revenues</b>	<b>\$3,608,450</b>	<b>\$0</b>	<b>\$6,318,811</b>	<b>(\$2,710,361)</b>	<b>175%</b>
64421-Transfer from Water Fund	\$284,770	\$0	\$118,654	\$166,116	42%
<b>Transfers In</b>	<b>\$284,770</b>	<b>\$0</b>	<b>\$118,654</b>	<b>\$166,116</b>	<b>42%</b>
<b>Total Revenues and Transfers</b>	<b>\$3,893,220</b>	<b>\$0</b>	<b>\$6,437,465</b>	<b>(\$2,544,245)</b>	<b>165%</b>
10010-General Administrative	\$442,510	\$16,399	\$317,472	\$108,638	72%
10020-Long Range Planning	\$35,000	\$0	\$33,000	\$2,000	94%
10030-Public Outreach	\$68,190	\$0	\$62,051	\$6,139	91%
10050-Minor Capital Outlay	\$15,000	\$0	\$1,462	\$13,538	10%
11917-Wheeler - Theatre Spaces	\$378,300	\$59,372	\$236,380	\$82,548	62%
11918-Wheeler - Rental Spaces	\$38,170	\$7,500	\$14,178	\$16,492	37%
51110-Presented Events	\$1,685,900	\$181,565	\$899,650	\$604,686	53%
51120-Rental Events	\$280,820	\$2,300	\$182,368	\$96,152	65%
51130-Box Office	\$81,650	\$6,276	\$60,569	\$14,805	74%
51140-Indirect Production Costs	\$283,260	\$10,552	\$151,595	\$121,113	54%
55110-Youth - Speed Camp	\$0	\$0	\$0	\$0	0%
59010-Arts Grants	\$400,000	\$0	\$546,000	(\$146,000)	137%
81200-Capital Projects	\$2,279,091	\$661,398	\$1,253,849	\$363,844	55%
<b>Total Expenditures</b>	<b>\$5,987,891</b>	<b>\$945,362</b>	<b>\$3,758,573</b>	<b>\$1,283,957</b>	<b>63%</b>
61110-General fund overhead	\$367,700	\$0	\$275,775	\$91,925	75%
61120-IT overhead	\$150,700	\$0	\$113,025	\$37,675	75%
65001-Transfer to General Fund	\$3,509,000	\$0	\$6,750	\$3,502,250	0%
65505-Transfer to Employee Housing Fund	\$28,720	\$0	\$11,967	\$16,753	42%
<b>Transfers Out</b>	<b>\$4,056,120</b>	<b>\$0</b>	<b>\$407,517</b>	<b>\$3,648,603</b>	<b>10%</b>
<b>Total Expenditures and Transfers</b>	<b>\$10,044,011</b>	<b>\$945,362</b>	<b>\$4,166,090</b>	<b>\$4,932,560</b>	<b>41%</b>
<b>Net Change in Fund Balance</b>	<b>(\$6,150,791)</b>		<b>\$2,271,375</b>		



City of Aspen  
Year-to-Date Financials: Sep-20  
130-Tourism Promotion Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
46111-Pooled cash investment income	\$1,810	\$0	\$0	\$1,810	0%
<b>Non-Classified Revenue</b>	<b>\$1,810</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,810</b>	<b>0%</b>
11010-Marketing and Tourism Promotion	\$1,750,900	\$0	\$1,643,713	\$107,187	94%
<b>Program Specific Revenue</b>	<b>\$1,750,900</b>	<b>\$0</b>	<b>\$1,643,713</b>	<b>\$107,187</b>	<b>94%</b>
<b>Total Revenues</b>	<b>\$1,752,710</b>	<b>\$0</b>	<b>\$1,643,713</b>	<b>\$108,997</b>	<b>94%</b>
<b>Total Revenues and Transfers</b>	<b>\$1,752,710</b>	<b>\$0</b>	<b>\$1,643,713</b>	<b>\$108,997</b>	<b>94%</b>
11010-Marketing and Tourism Promotion	\$2,050,900	\$334,882	\$1,716,018	\$0	84%
<b>Total Expenditures</b>	<b>\$2,050,900</b>	<b>\$334,882</b>	<b>\$1,716,018</b>	<b>\$0</b>	<b>84%</b>
<b>Total Expenditures and Transfers</b>	<b>\$2,050,900</b>	<b>\$334,882</b>	<b>\$1,716,018</b>	<b>\$0</b>	<b>84%</b>
<b>Net Change in Fund Balance</b>	<b>(\$298,190)</b>		<b>(\$72,305)</b>		

City of Aspen  
Year-to-Date Financials: Sep-20  
131-Public Education Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
46111-Pooled cash investment income	(\$10)	\$0	\$0	(\$10)	0%
<b>Non-Classified Revenue</b>	<b>(\$10)</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$10)</b>	<b>0%</b>
61000-Public Education Funds	\$2,097,000	\$0	\$1,484,947	\$612,053	71%
<b>Program Specific Revenue</b>	<b>\$2,097,000</b>	<b>\$0</b>	<b>\$1,484,947</b>	<b>\$612,053</b>	<b>71%</b>
<b>Total Revenues</b>	<b>\$2,096,990</b>	<b>\$0</b>	<b>\$1,484,947</b>	<b>\$612,043</b>	<b>71%</b>
<b>Total Revenues and Transfers</b>	<b>\$2,096,990</b>	<b>\$0</b>	<b>\$1,484,947</b>	<b>\$612,043</b>	<b>71%</b>
61000-Public Education Funds	\$2,097,000	\$0	\$1,208,637	\$888,363	58%
<b>Total Expenditures</b>	<b>\$2,097,000</b>	<b>\$0</b>	<b>\$1,208,637</b>	<b>\$888,363</b>	<b>58%</b>
<b>Total Expenditures and Transfers</b>	<b>\$2,097,000</b>	<b>\$0</b>	<b>\$1,208,637</b>	<b>\$888,363</b>	<b>58%</b>
<b>Net Change in Fund Balance</b>	<b>(\$10)</b>		<b>\$276,310</b>		

City of Aspen  
Year-to-Date Financials: Sep-20  
132-REMP Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
46111-Pooled cash investment income	\$98,010	\$0	\$0	\$98,010	0%
<b>Non-Classified Revenue</b>	<b>\$98,010</b>	<b>\$0</b>	<b>\$0</b>	<b>\$98,010</b>	<b>0%</b>
62000-Renewable Energy Mitigation Program	\$816,000	\$0	\$576,963	\$239,037	71%
<b>Program Specific Revenue</b>	<b>\$816,000</b>	<b>\$0</b>	<b>\$576,963</b>	<b>\$239,037</b>	<b>71%</b>
<b>Total Revenues</b>	<b>\$914,010</b>	<b>\$0</b>	<b>\$576,963</b>	<b>\$337,047</b>	<b>63%</b>
<b>Total Revenues and Transfers</b>	<b>\$914,010</b>	<b>\$0</b>	<b>\$576,963</b>	<b>\$337,047</b>	<b>63%</b>
62000-Renewable Energy Mitigation Program	\$1,800,000	\$511,250	\$818,750	\$470,000	45%
<b>Total Expenditures</b>	<b>\$1,800,000</b>	<b>\$511,250</b>	<b>\$818,750</b>	<b>\$470,000</b>	<b>45%</b>
65001-Transfer to General Fund	\$145,400	\$0	\$109,050	\$36,350	75%
<b>Transfers Out</b>	<b>\$145,400</b>	<b>\$0</b>	<b>\$109,050</b>	<b>\$36,350</b>	<b>75%</b>
<b>Total Expenditures and Transfers</b>	<b>\$1,945,400</b>	<b>\$511,250</b>	<b>\$927,800</b>	<b>\$506,350</b>	<b>48%</b>
<b>Net Change in Fund Balance</b>	<b>(\$1,031,390)</b>		<b>(\$350,837)</b>		

City of Aspen  
Year-to-Date Financials: Sep-20  
141-Transportation Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
41222-Sales tax	\$801,300	\$0	\$738,588	\$62,712	92%
41232-Penalty on sales tax	\$0	\$0	\$3,861	(\$3,861)	0%
41241-Lodging tax	\$583,600	\$0	\$547,903	\$35,697	94%
41341-Use tax	\$500,000	\$0	\$76,618	\$423,382	15%
45320-In lieu of development fees	\$10,200	\$0	\$135,720	(\$125,520)	1,331%
46111-Pooled cash investment income	\$84,570	\$0	\$0	\$84,570	0%
<b>Non-Classified Revenue</b>	<b>\$1,979,670</b>	<b>\$0</b>	<b>\$1,502,690</b>	<b>\$476,980</b>	<b>76%</b>
10010-General Administrative	\$0	\$0	\$192	(\$192)	0%
11924-Rubey Park Transit Center	\$45,000	\$0	\$22,896	\$22,104	51%
13110-In-Town Service	\$10,200	\$0	\$232,968	(\$222,768)	2,284%
13120-Highlands Service	\$207,060	\$0	\$138,114	\$68,946	67%
13210-Car-to-Go Program	\$43,860	\$0	\$23,388	\$20,472	53%
13310-Transportation Demand Management	\$10,200	\$0	\$7,563	\$2,637	74%
81200-Capital Projects	\$33,000	\$0	\$0	\$33,000	0%
<b>Program Specific Revenue</b>	<b>\$349,320</b>	<b>\$0</b>	<b>\$425,122</b>	<b>(\$75,802)</b>	<b>122%</b>
<b>Total Revenues</b>	<b>\$2,328,990</b>	<b>\$0</b>	<b>\$1,927,811</b>	<b>\$401,179</b>	<b>83%</b>
64451-Transfer from Parking Fund	\$1,750,000	\$0	\$1,312,500	\$437,500	75%
<b>Transfers In</b>	<b>\$1,750,000</b>	<b>\$0</b>	<b>\$1,312,500</b>	<b>\$437,500</b>	<b>75%</b>
<b>Total Revenues and Transfers</b>	<b>\$4,078,990</b>	<b>\$0</b>	<b>\$3,240,311</b>	<b>\$838,679</b>	<b>79%</b>
00000-Non-Classified	\$80,990	\$0	\$0	\$80,990	0%
10010-General Administrative	\$167,450	\$3,576	\$131,396	\$32,478	78%
10040-Sales Tax Refunds	\$12,120	\$0	\$13,867	(\$1,747)	114%
10050-Minor Capital Outlay	\$13,010	\$0	\$7,458	\$5,552	57%
11905-Main Street Cabin	\$31,180	\$9,033	\$8,292	\$13,855	27%
11924-Rubey Park Transit Center	\$315,350	\$145,359	\$146,920	\$23,071	47%
11926-Yellow Brick Building	\$0	\$0	\$2,400	(\$2,400)	0%
13110-In-Town Service	\$834,500	\$0	\$153,979	\$680,521	18%
13120-Highlands Service	\$217,260	\$217,260	\$0	\$0	0%
13130-Bus Stop Maintenance	\$34,170	\$12,234	\$24,217	(\$2,281)	71%
13210-Car-to-Go Program	\$135,310	\$12,784	\$97,829	\$24,697	72%
13220-WE-Cycle Program	\$155,190	\$82,100	\$67,470	\$5,620	43%
13230-Late Night Taxi Program	\$15,260	\$600	\$5,701	\$8,959	37%
13240-Mobility Service	\$502,570	\$150,092	\$317,893	\$34,584	63%
13310-Transportation Demand Management	\$251,200	\$38,582	\$130,051	\$82,567	52%
81200-Capital Projects	\$279,500	\$8,221	\$25,875	\$245,403	9%
<b>Total Expenditures</b>	<b>\$3,045,060</b>	<b>\$679,841</b>	<b>\$1,133,349</b>	<b>\$1,231,870</b>	<b>37%</b>
61110-General fund overhead	\$388,700	\$0	\$291,525	\$97,175	75%
61120-IT overhead	\$35,200	\$0	\$26,400	\$8,800	75%
65000-Transfer to AMP Fund	\$2,098,010	\$0	\$874,171	\$1,223,839	42%
65001-Transfer to General Fund	\$73,640	\$0	\$55,230	\$18,410	75%
65505-Transfer to Employee Housing Fund	\$11,050	\$0	\$4,604	\$6,446	42%
<b>Transfers Out</b>	<b>\$2,606,600</b>	<b>\$0</b>	<b>\$1,251,930</b>	<b>\$1,354,670</b>	<b>48%</b>
<b>Total Expenditures and Transfers</b>	<b>\$5,651,660</b>	<b>\$679,841</b>	<b>\$2,385,279</b>	<b>\$2,586,540</b>	<b>42%</b>

City of Aspen  
Year-to-Date Financials: Sep-20  
141-Transportation Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
Net Change in Fund Balance					
	(\$1,572,670)		\$855,032		

City of Aspen  
Year-to-Date Financials: Sep-20  
150-Housing Development Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
41222-Sales tax	\$1,081,600	\$0	\$997,385	\$84,215	92%
41232-Penalty on sales tax	\$0	\$0	\$5,144	(\$5,144)	0%
41420-Real estate transfer tax	\$3,803,500	\$0	\$10,661,632	(\$6,858,132)	280%
45320-In lieu of development fees	\$260,100	\$0	\$320,812	(\$60,712)	123%
45530-Refund of expenditures - Other	\$0	\$0	\$2,160	(\$2,160)	0%
46111-Pooled cash investment income	\$469,310	\$0	\$0	\$469,310	0%
<b>Non-Classified Revenue</b>	<b>\$5,614,510</b>	<b>\$0</b>	<b>\$11,987,134</b>	<b>(\$6,372,624)</b>	<b>214%</b>
11932-Housing Development Properties	\$593,650	\$0	\$715,008	(\$121,358)	120%
81200-Capital Projects	\$9,420,000	\$0	\$126,115	\$9,293,885	1%
<b>Program Specific Revenue</b>	<b>\$10,013,650</b>	<b>\$0</b>	<b>\$841,123</b>	<b>\$9,172,527</b>	<b>8%</b>
<b>Total Revenues</b>	<b>\$15,628,160</b>	<b>\$0</b>	<b>\$12,828,257</b>	<b>\$2,799,903</b>	<b>82%</b>
64492-Transfer from Marolt Housing Fund	\$1,700,000	\$0	\$1,275,000	\$425,000	75%
<b>Transfers In</b>	<b>\$1,700,000</b>	<b>\$0</b>	<b>\$1,275,000</b>	<b>\$425,000</b>	<b>75%</b>
<b>Total Revenues and Transfers</b>	<b>\$17,328,160</b>	<b>\$0</b>	<b>\$14,103,257</b>	<b>\$3,224,903</b>	<b>81%</b>
00000-Non-Classified	\$1,500,000	\$22,541	\$477,459	\$1,000,000	32%
10010-General Administrative	\$483,700	\$0	\$49,018	\$434,682	10%
10040-Sales Tax Refunds	\$16,340	\$0	\$18,735	(\$2,395)	115%
10050-Minor Capital Outlay	\$1,120	\$0	\$0	\$1,120	0%
11932-Housing Development Properties	\$68,530	\$13,222	\$137,751	(\$82,443)	201%
11999-Other Facility / Maintenance	\$13,800	\$3,877	\$9,123	\$800	66%
81100-Capital Labor	\$85,070	\$0	\$67,314	\$17,756	79%
81200-Capital Projects	\$27,345,277	\$11,948,708	\$7,313,082	\$8,083,486	27%
<b>Total Expenditures</b>	<b>\$29,513,837</b>	<b>\$11,988,348</b>	<b>\$8,072,483</b>	<b>\$9,453,006</b>	<b>27%</b>
61110-General fund overhead	\$893,400	\$0	\$670,050	\$223,350	75%
61120-IT overhead	\$1,200	\$0	\$900	\$300	75%
65001-Transfer to General Fund	\$5,100	\$0	\$3,825	\$1,275	75%
65250-Transfer to Debt Service Fund	\$171,000	\$0	\$64,285	\$106,715	38%
65491-Transfer to Truscott Housing Fund	\$1,100,000	\$0	\$825,000	\$275,000	75%
<b>Transfers Out</b>	<b>\$2,170,700</b>	<b>\$0</b>	<b>\$1,564,060</b>	<b>\$606,640</b>	<b>72%</b>
<b>Total Expenditures and Transfers</b>	<b>\$31,684,537</b>	<b>\$11,988,348</b>	<b>\$9,636,543</b>	<b>\$10,059,646</b>	<b>30%</b>
<b>Net Change in Fund Balance</b>	<b>(\$14,356,377)</b>		<b>\$4,466,713</b>		

City of Aspen  
Year-to-Date Financials: Sep-20  
152-Kids First Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
41222-Sales tax	\$1,321,900	\$0	\$1,218,370	\$103,530	92%
41232-Penalty on sales tax	\$0	\$0	\$6,432	(\$6,432)	0%
46111-Pooled cash investment income	\$111,220	\$0	\$0	\$111,220	0%
46411-Private contributions	\$1,000	\$0	\$2,213	(\$1,213)	221%
<b>Non-Classified Revenue</b>	<b>\$1,434,120</b>	<b>\$0</b>	<b>\$1,227,015</b>	<b>\$207,105</b>	<b>86%</b>
11926-Yellow Brick Building	\$183,705	\$0	\$114,726	\$68,979	62%
41210-Quality Improvement Support	\$17,500	\$0	\$54,471	(\$36,971)	311%
41230-Reimbursable Support	\$20,000	\$0	\$10,218	\$9,782	51%
<b>Program Specific Revenue</b>	<b>\$221,205</b>	<b>\$0</b>	<b>\$179,415</b>	<b>\$41,790</b>	<b>81%</b>
<b>Total Revenues</b>	<b>\$1,655,325</b>	<b>\$0</b>	<b>\$1,406,430</b>	<b>\$248,895</b>	<b>85%</b>
<b>Total Revenues and Transfers</b>	<b>\$1,655,325</b>	<b>\$0</b>	<b>\$1,406,430</b>	<b>\$248,895</b>	<b>85%</b>
00000-Non-Classified	\$63,730	\$0	\$0	\$63,730	0%
10010-General Administrative	\$257,860	\$519	\$166,742	\$90,599	65%
10020-Long Range Planning	\$25,000	\$0	\$450	\$24,550	2%
10030-Public Outreach	\$27,070	\$0	\$2,080	\$24,990	8%
10040-Sales Tax Refunds	\$21,000	\$0	\$22,955	(\$1,955)	109%
10050-Minor Capital Outlay	\$20,280	\$5,964	\$35,897	(\$21,581)	177%
11926-Yellow Brick Building	\$212,320	\$24,180	\$160,446	\$27,695	76%
41110-Financial Aid	\$1,449,050	\$0	\$129,496	\$1,319,554	9%
41120-Operational Subsidies	\$265,460	\$106,688	\$442,199	(\$283,427)	167%
41210-Quality Improvement Support	\$329,540	\$20,583	\$170,712	\$138,244	52%
41220-Technical and Operational Support	\$120,000	\$6,350	\$31,170	\$82,480	26%
41230-Reimbursable Support	\$166,680	\$12	\$95,833	\$70,835	57%
81200-Capital Projects	\$50,750	\$0	\$10,641	\$40,109	21%
<b>Total Expenditures</b>	<b>\$3,008,740</b>	<b>\$164,295</b>	<b>\$1,268,621</b>	<b>\$1,575,824</b>	<b>42%</b>
61110-General fund overhead	\$191,800	\$0	\$143,850	\$47,950	75%
61120-IT overhead	\$47,000	\$0	\$35,250	\$11,750	75%
65001-Transfer to General Fund	\$12,510	\$0	\$9,383	\$3,128	75%
65505-Transfer to Employee Housing Fund	\$16,800	\$0	\$7,000	\$9,800	42%
<b>Transfers Out</b>	<b>\$268,110</b>	<b>\$0</b>	<b>\$195,483</b>	<b>\$72,628</b>	<b>73%</b>
<b>Total Expenditures and Transfers</b>	<b>\$3,276,850</b>	<b>\$164,295</b>	<b>\$1,464,104</b>	<b>\$1,648,451</b>	<b>45%</b>
<b>Net Change in Fund Balance</b>	<b>(\$1,621,525)</b>		<b>(\$57,673)</b>		

City of Aspen  
Year-to-Date Financials: Sep-20  
160-Stormwater Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
41111-Property tax	\$1,194,000	\$0	\$1,102,068	\$91,932	92%
42224-Building permit review fees	\$135,000	\$0	\$171,059	(\$36,059)	127%
44131-Land use review	\$0	\$0	\$796	(\$796)	0%
44412-Construction mitigation fees	\$90,000	\$0	\$245,741	(\$155,741)	273%
46111-Pooled cash investment income	\$17,490	\$0	\$0	\$17,490	0%
<b>Non-Classified Revenue</b>	<b>\$1,436,490</b>	<b>\$0</b>	<b>\$1,519,665</b>	<b>(\$83,175)</b>	<b>106%</b>
<b>Total Revenues</b>	<b>\$1,436,490</b>	<b>\$0</b>	<b>\$1,519,665</b>	<b>(\$83,175)</b>	<b>106%</b>
<b>Total Revenues and Transfers</b>	<b>\$1,436,490</b>	<b>\$0</b>	<b>\$1,519,665</b>	<b>(\$83,175)</b>	<b>106%</b>
00000-Non-Classified	\$85,270	\$0	\$22,082	\$63,188	26%
10010-General Administrative	\$61,410	\$0	\$24,112	\$37,298	39%
10050-Minor Capital Outlay	\$4,070	\$0	\$0	\$4,070	0%
11904-Mill Street Annex	\$61,830	\$0	\$36,306	\$25,524	59%
12110-Development Review	\$88,830	\$0	\$26,916	\$61,914	30%
12210-Inspection and Enforcement	\$29,180	\$0	\$21,273	\$7,907	73%
12310-Long Range Planning / Policy	\$37,520	\$0	\$28,182	\$9,338	75%
35010-Drainage Infrastructure Maintenance	\$58,300	\$0	\$38,533	\$19,767	66%
35020-Natural Treatment Area Maintenance	\$170,630	\$0	\$108,030	\$62,600	63%
35030-Streets and Vault Area Maintenance	\$152,870	\$0	\$99,357	\$53,513	65%
81100-Capital Labor	\$92,140	\$0	\$55,785	\$36,355	61%
81200-Capital Projects	\$291,667	\$16,202	\$6,009	\$269,456	2%
<b>Total Expenditures</b>	<b>\$1,133,717</b>	<b>\$16,202</b>	<b>\$466,585</b>	<b>\$650,930</b>	<b>41%</b>
61110-General fund overhead	\$208,900	\$0	\$156,675	\$52,225	75%
61120-IT overhead	\$2,800	\$0	\$2,100	\$700	75%
65001-Transfer to General Fund	\$25,110	\$0	\$18,833	\$6,278	75%
65250-Transfer to Debt Service Fund	\$56,190	\$0	\$0	\$56,190	0%
65505-Transfer to Employee Housing Fund	\$12,820	\$0	\$5,342	\$7,478	42%
<b>Transfers Out</b>	<b>\$305,820</b>	<b>\$0</b>	<b>\$182,949</b>	<b>\$122,871</b>	<b>60%</b>
<b>Total Expenditures and Transfers</b>	<b>\$1,439,537</b>	<b>\$16,202</b>	<b>\$649,534</b>	<b>\$773,801</b>	<b>45%</b>
<b>Net Change in Fund Balance</b>	<b>(\$3,047)</b>		<b>\$870,131</b>		



## Enterprise Funds

- 421 - Water Utility Fund
- 431 - Electric Utility Fund
- 451 - Parking Fund
- 471 - Golf Course Fund
- 491 - Truscott I Housing Fund
- 492 - Marolt Housing Fund

City of Aspen  
Year-to-Date Financials: Sep-20  
421-Water Utility Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
44511-Meter sales	\$40,800	\$0	\$17,439	\$23,361	43%
44512-Water inventory sales	\$10,200	\$0	\$25,564	(\$15,364)	251%
44521-Metered demand	\$1,801,970	\$0	\$1,245,487	\$556,483	69%
44522-Unmetered demand	\$410,010	\$0	\$290,215	\$119,795	71%
44523-Variable service	\$3,000,000	\$0	\$3,404,965	(\$404,965)	113%
44524-Fire charge	\$880,020	\$0	\$639,237	\$240,783	73%
44525-Pump charges	\$499,990	\$0	\$529,751	(\$29,761)	106%
44580-Connect and disconnect charges	\$7,650	\$0	\$6,550	\$1,100	86%
44590-Utility hookup charge	\$15,300	\$0	\$33,500	(\$18,200)	219%
45610-Miscellaneous revenue	\$1,020	\$0	(\$1,265)	\$2,285	(124%)
45630-Finance charges	\$10,000	\$0	\$9,578	\$422	96%
46111-Pooled cash investment income	\$110,770	\$0	\$0	\$110,770	0%
46241-Land lease	\$7,140	\$0	\$5,445	\$1,695	76%
46510-Water tap fees	\$797,000	\$0	\$1,163,841	(\$366,841)	146%
<b>Non-Classified Revenue</b>	<b>\$7,591,870</b>	<b>\$0</b>	<b>\$7,370,306</b>	<b>\$221,564</b>	<b>97%</b>
12110-Development Review	\$200,000	\$0	\$582,917	(\$382,917)	291%
32210-Raw Water	\$399,850	\$0	\$478,474	(\$78,624)	120%
32220-Reclaimed Water	\$106,090	\$0	\$0	\$106,090	0%
32230-Wholesale Water	\$450,010	\$0	\$494,468	(\$44,458)	110%
81200-Capital Projects	\$715,000	\$0	\$27,089	\$687,912	4%
<b>Program Specific Revenue</b>	<b>\$1,870,950</b>	<b>\$0</b>	<b>\$1,582,947</b>	<b>\$288,003</b>	<b>85%</b>
<b>Total Revenues</b>	<b>\$9,462,820</b>	<b>\$0</b>	<b>\$8,953,253</b>	<b>\$509,567</b>	<b>95%</b>
<b>Total Revenues and Transfers</b>	<b>\$9,462,820</b>	<b>\$0</b>	<b>\$8,953,253</b>	<b>\$509,567</b>	<b>95%</b>
00000-Non-Classified	\$126,410	\$0	\$0	\$126,410	0%
10010-General Administrative	\$640,660	\$10,718	\$439,495	\$190,447	69%
10050-Minor Capital Outlay	\$64,300	\$8,313	\$44,309	\$11,678	69%
11927-Water Department Campus	\$198,060	\$16,149	\$86,134	\$95,777	43%
12110-Development Review	\$480,270	\$5,681	\$318,607	\$155,982	66%
31110-Utility Billing Services	\$369,820	\$9,575	\$262,473	\$97,771	71%
31520-Efficiency Program	\$107,640	\$46,504	\$59,612	\$1,524	55%
32110-Treated Water	\$630,200	\$66,867	\$445,159	\$118,174	71%
32210-Raw Water	\$222,240	\$36,405	\$159,135	\$26,700	72%
32220-Reclaimed Water	\$24,780	\$0	\$16,091	\$8,689	65%
32310-Water Line Maintenance	\$656,960	\$95,391	\$423,105	\$138,464	64%
32320-Storage Tank and Pump System Maintenance	\$335,360	\$50,414	\$203,908	\$81,039	61%
32340-Telemetry	\$172,490	\$20,232	\$107,161	\$45,098	62%
32410-Water Rights	\$277,980	\$37,626	\$157,456	\$82,898	57%
81100-Capital Labor	\$183,450	\$0	\$138,624	\$44,826	76%
81200-Capital Projects	\$5,901,465	\$1,870,437	\$1,821,785	\$2,209,244	31%
<b>Total Expenditures</b>	<b>\$10,392,085</b>	<b>\$2,274,312</b>	<b>\$4,683,053</b>	<b>\$3,434,720</b>	<b>45%</b>
61110-General fund overhead	\$821,600	\$0	\$616,200	\$205,400	75%
61120-IT overhead	\$189,100	\$0	\$141,825	\$47,275	75%
65001-Transfer to General Fund	\$1,134,000	\$0	\$850,500	\$283,500	75%
65100-Transfer to Parks Fund	\$150,000	\$0	\$112,500	\$37,500	75%

City of Aspen  
Year-to-Date Financials: Sep-20  
421-Water Utility Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
65120-Transfer to Wheeler Fund	\$284,770	\$0	\$118,654	\$166,116	42%
65431-Transfer to Electric Fund	\$212,900	\$0	\$88,708	\$124,192	42%
65505-Transfer to Employee Housing Fund	\$55,150	\$0	\$22,979	\$32,171	42%
<b>Transfers Out</b>	<b>\$2,847,520</b>	<b>\$0</b>	<b>\$1,951,367</b>	<b>\$896,153</b>	<b>69%</b>
<b>Total Expenditures and Transfers</b>	<b>\$13,239,605</b>	<b>\$2,274,312</b>	<b>\$6,634,420</b>	<b>\$4,330,874</b>	<b>50%</b>
<b>Net Change in Fund Balance</b>	<b>(\$3,776,785)</b>		<b>\$2,318,833</b>		

City of Aspen  
Year-to-Date Financials: Sep-20  
431-Electric Utility Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
44515-Transformer sales	\$15,000	\$0	\$11,468	\$3,532	76%
44531-Commercial demand	\$519,400	\$0	\$433,708	\$85,692	84%
44541-Residential consumption	\$2,714,610	\$0	\$2,250,176	\$464,434	83%
44542-Residential availability	\$818,970	\$0	\$684,961	\$134,009	84%
44551-Small commercial consumption	\$2,812,730	\$0	\$2,068,107	\$744,623	74%
44552-Small commercial availability	\$473,000	\$0	\$393,924	\$79,076	83%
44553-Large commercial consumption	\$1,195,470	\$0	\$839,155	\$356,315	70%
44554-Large commercial availability	\$122,520	\$0	\$99,739	\$22,781	81%
44580-Connect and disconnect charges	\$5,040	\$0	\$7,384	(\$2,344)	147%
45610-Miscellaneous revenue	\$80	\$0	\$61,794	(\$61,714)	77,242%
46111-Pooled cash investment income	\$53,230	\$0	\$0	\$53,230	0%
46512-Electric Development Fee	\$120,000	\$0	\$74,250	\$45,750	62%
<b>Non-Classified Revenue</b>	<b>\$8,850,050</b>	<b>\$0</b>	<b>\$6,924,666</b>	<b>\$1,925,384</b>	<b>78%</b>
81200-Capital Projects	\$0	\$0	\$8,500	(\$8,500)	0%
<b>Program Specific Revenue</b>	<b>\$0</b>	<b>\$0</b>	<b>\$8,500</b>	<b>(\$8,500)</b>	<b>0%</b>
<b>Total Revenues</b>	<b>\$8,850,050</b>	<b>\$0</b>	<b>\$6,933,166</b>	<b>\$1,916,884</b>	<b>78%</b>
64421-Transfer from Water Fund	\$212,900	\$0	\$88,708	\$124,192	42%
<b>Transfers In</b>	<b>\$212,900</b>	<b>\$0</b>	<b>\$88,708</b>	<b>\$124,192</b>	<b>42%</b>
<b>Total Revenues and Transfers</b>	<b>\$9,062,950</b>	<b>\$0</b>	<b>\$7,021,875</b>	<b>\$2,041,075</b>	<b>77%</b>
00000-Non-Classified	\$178,430	\$0	\$0	\$178,430	0%
10010-General Administrative	\$434,610	\$4,229	\$292,989	\$137,392	67%
10050-Minor Capital Outlay	\$7,930	\$0	\$10,320	(\$2,390)	130%
11928-Electric Department Campus	\$55,880	\$5,704	\$34,708	\$15,468	62%
31110-Utility Billing Services	\$323,470	\$9,575	\$213,655	\$100,240	66%
31520-Efficiency Program	\$18,190	\$0	\$63,822	(\$45,632)	351%
33110-Ruedi Hydroelectric	\$334,570	\$33,743	\$253,359	\$47,468	76%
33120-Maroon Creek Hydroelectric	\$122,580	\$1,071	\$58,814	\$62,694	48%
33210-Hydroelectric	\$555,010	\$154,928	\$320,369	\$79,713	58%
33220-Windpower	\$2,061,330	\$806,775	\$1,254,555	\$0	61%
33230-Landfill Gas	\$74,290	\$35,460	\$38,830	\$0	52%
33240-Transmission and Wheeling Charges	\$931,330	\$336,490	\$594,840	\$0	64%
33250-Fixed Cost Recovery Charge	\$1,233,960	\$444,728	\$789,232	\$0	64%
33260-Other Charges	\$75,120	\$0	\$54,965	\$20,155	73%
33310-Electric Line and Transformer Maintenance	\$618,500	\$15,818	\$398,256	\$204,426	64%
33320-Telemetry	\$55,730	\$0	\$42,778	\$12,952	77%
33920-Public Lighting	\$122,020	\$6,656	\$71,282	\$44,082	58%
81100-Capital Labor	\$182,180	\$0	\$136,759	\$45,421	75%
81200-Capital Projects	\$2,944,425	\$169,217	\$215,516	\$2,559,692	7%
91023-2019 Refunding of 2008 GO Bonds - CCEC	\$355,650	\$0	\$26,801	\$328,849	8%
<b>Total Expenditures</b>	<b>\$10,685,205</b>	<b>\$2,024,396</b>	<b>\$4,871,851</b>	<b>\$3,788,959</b>	<b>46%</b>
61110-General fund overhead	\$438,100	\$0	\$328,575	\$109,525	75%
61120-IT overhead	\$22,200	\$0	\$16,650	\$5,550	75%
65001-Transfer to General Fund	\$655,450	\$0	\$491,587	\$163,863	75%
65505-Transfer to Employee Housing Fund	\$29,270	\$0	\$12,196	\$17,074	42%

City of Aspen  
Year-to-Date Financials: Sep-20  
431-Electric Utility Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
Transfers Out	\$1,145,020	\$0	\$849,008	\$296,012	74%
<b>Total Expenditures and Transfers</b>	<b>\$11,830,225</b>	<b>\$2,024,396</b>	<b>\$5,720,859</b>	<b>\$4,084,970</b>	<b>48%</b>
Net Change in Fund Balance	(\$2,767,275)		\$1,301,016		

City of Aspen  
Year-to-Date Financials: Sep-20  
451-Parking Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
44342-Court traffic fines	\$150	\$0	\$225	(\$75)	150%
46111-Pooled cash investment income	\$121,820	\$0	\$0	\$121,820	0%
<b>Non-Classified Revenue</b>	<b>\$121,970</b>	<b>\$0</b>	<b>\$225</b>	<b>\$121,745</b>	<b>0%</b>
13510-On-Street	\$2,931,200	\$0	\$2,537,611	\$393,589	87%
13520-Garage	\$316,020	\$0	\$264,615	\$51,405	84%
<b>Program Specific Revenue</b>	<b>\$3,247,220</b>	<b>\$0</b>	<b>\$2,802,226</b>	<b>\$444,994</b>	<b>86%</b>
<b>Total Revenues</b>	<b>\$3,369,190</b>	<b>\$0</b>	<b>\$2,802,451</b>	<b>\$566,739</b>	<b>83%</b>
64001-Transfer from General Fund	\$30,000	\$0	\$22,500	\$7,500	75%
<b>Transfers In</b>	<b>\$30,000</b>	<b>\$0</b>	<b>\$22,500</b>	<b>\$7,500</b>	<b>75%</b>
<b>Total Revenues and Transfers</b>	<b>\$3,399,190</b>	<b>\$0</b>	<b>\$2,824,951</b>	<b>\$574,239</b>	<b>83%</b>
00000-Non-Classified	\$27,780	\$0	\$0	\$27,780	0%
10010-General Administrative	\$351,460	\$294	\$255,968	\$95,198	73%
10030-Public Outreach	\$32,000	\$99	\$24,086	\$7,814	75%
10050-Minor Capital Outlay	\$35,450	\$0	\$1,823	\$33,627	5%
11904-Mill Street Annex	\$116,600	\$9,138	\$70,071	\$37,391	60%
11923-Rio Grande Parking Garage	\$113,210	\$34,608	\$47,973	\$30,629	42%
13510-On-Street	\$1,186,880	\$115,361	\$783,969	\$287,549	66%
13520-Garage	\$283,300	\$3,641	\$103,791	\$175,868	37%
81200-Capital Projects	\$90,273	\$0	\$0	\$90,273	0%
<b>Total Expenditures</b>	<b>\$2,236,953</b>	<b>\$163,142</b>	<b>\$1,287,682</b>	<b>\$786,129</b>	<b>58%</b>
61110-General fund overhead	\$427,200	\$0	\$320,400	\$106,800	75%
61120-IT overhead	\$76,000	\$0	\$57,000	\$19,000	75%
65000-Transfer to AMP Fund	\$3,709,250	\$0	\$1,545,521	\$2,163,729	42%
65001-Transfer to General Fund	\$1,000	\$0	\$750	\$250	75%
65141-Transfer to Transportation Fund	\$1,750,000	\$0	\$1,312,500	\$437,500	75%
65505-Transfer to Employee Housing Fund	\$31,970	\$0	\$13,321	\$18,649	42%
<b>Transfers Out</b>	<b>\$5,995,420</b>	<b>\$0</b>	<b>\$3,249,492</b>	<b>\$2,745,928</b>	<b>54%</b>
<b>Total Expenditures and Transfers</b>	<b>\$8,232,373</b>	<b>\$163,142</b>	<b>\$4,537,174</b>	<b>\$3,532,057</b>	<b>55%</b>
<b>Net Change in Fund Balance</b>	<b>(\$4,833,183)</b>		<b>(\$1,712,223)</b>		

City of Aspen  
Year-to-Date Financials: Sep-20  
471-Golf Course Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
45530-Refund of expenditures - Other	\$770	\$0	\$1,000	(\$230)	130%
45610-Miscellaneous revenue	\$0	\$0	\$2,507	(\$2,507)	0%
46111-Pooled cash investment income	\$5,080	\$0	\$0	\$5,080	0%
<b>Non-Classified Revenue</b>	<b>\$5,850</b>	<b>\$0</b>	<b>\$3,507</b>	<b>\$2,343</b>	<b>60%</b>
11930-Golf Course Campus	\$38,760	\$0	\$24,168	\$14,592	62%
58110-Course Area Maintenance	\$739,810	\$0	\$1,338,462	(\$598,652)	181%
58210-Retail Operations	\$415,700	\$0	\$565,590	(\$149,890)	136%
58220-Lessons	\$59,980	\$0	\$130,704	(\$70,724)	218%
<b>Program Specific Revenue</b>	<b>\$1,254,250</b>	<b>\$0</b>	<b>\$2,058,924</b>	<b>(\$804,674)</b>	<b>164%</b>
<b>Total Revenues</b>	<b>\$1,260,100</b>	<b>\$0</b>	<b>\$2,062,431</b>	<b>(\$802,331)</b>	<b>164%</b>
64100-Transfer from Parks Fund	\$462,240	\$0	\$344,647	\$117,593	75%
<b>Transfers In</b>	<b>\$462,240</b>	<b>\$0</b>	<b>\$344,647</b>	<b>\$117,593</b>	<b>75%</b>
<b>Total Revenues and Transfers</b>	<b>\$1,722,340</b>	<b>\$0</b>	<b>\$2,407,077</b>	<b>(\$684,737)</b>	<b>140%</b>
00000-Non-Classified	\$36,600	\$0	\$0	\$36,600	0%
10010-General Administrative	\$286,580	\$0	\$236,899	\$49,681	83%
10030-Public Outreach	\$9,250	\$0	\$1,463	\$7,787	16%
10050-Minor Capital Outlay	\$12,100	\$0	\$0	\$12,100	0%
11930-Golf Course Campus	\$166,170	\$28,070	\$140,164	(\$2,063)	84%
53120-Event Logistics	\$0	\$0	\$112	(\$112)	0%
58110-Course Area Maintenance	\$420,400	\$3,147	\$254,841	\$162,411	61%
58120-Equipment Maintenance	\$143,270	\$2,003	\$109,013	\$32,254	76%
58210-Retail Operations	\$524,510	\$54,602	\$495,927	(\$26,019)	95%
58220-Lessons	\$44,260	\$6,202	\$115,298	(\$77,240)	261%
81200-Capital Projects	\$101,735	\$11,298	\$33,032	\$57,405	32%
<b>Total Expenditures</b>	<b>\$1,744,875</b>	<b>\$105,323</b>	<b>\$1,386,749</b>	<b>\$252,804</b>	<b>79%</b>
61110-General fund overhead	\$167,100	\$0	\$125,325	\$41,775	75%
61120-IT overhead	\$25,200	\$0	\$18,900	\$6,300	75%
65001-Transfer to General Fund	\$5,710	\$0	\$4,282	\$1,428	75%
65100-Transfer to Parks Fund	\$13,100	\$0	\$9,825	\$3,275	75%
65505-Transfer to Employee Housing Fund	\$16,250	\$0	\$6,771	\$9,479	42%
<b>Transfers Out</b>	<b>\$227,360</b>	<b>\$0</b>	<b>\$165,103</b>	<b>\$62,257</b>	<b>73%</b>
<b>Total Expenditures and Transfers</b>	<b>\$1,972,235</b>	<b>\$105,323</b>	<b>\$1,551,852</b>	<b>\$315,060</b>	<b>79%</b>
<b>Net Change in Fund Balance</b>	<b>(\$249,895)</b>		<b>\$855,225</b>		

City of Aspen  
Year-to-Date Financials: Sep-20  
491-Truscott I Housing Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
44711-Laundry	\$35,700	\$0	\$13,959	\$21,741	39%
44712-Parking fees	\$150	\$0	\$150	\$0	100%
45515-Refund of expenditures - Housing	\$2,550	\$0	\$200	\$2,350	8%
45610-Miscellaneous revenue	\$2,170	\$0	\$152	\$2,018	7%
46111-Pooled cash investment income	\$3,540	\$0	\$0	\$3,540	0%
46211-Rental income - permanent	\$1,254,740	\$0	\$833,016	\$421,724	66%
46215-Late rent fees	\$2,550	\$0	\$550	\$2,000	22%
<b>Non-Classified Revenue</b>	<b>\$1,301,400</b>	<b>\$0</b>	<b>\$848,027</b>	<b>\$453,373</b>	<b>65%</b>
<b>Total Revenues</b>	<b>\$1,301,400</b>	<b>\$0</b>	<b>\$848,027</b>	<b>\$453,373</b>	<b>65%</b>
64150-Transfer from Affordable Housing Fund	\$1,100,000	\$0	\$825,000	\$275,000	75%
<b>Transfers In</b>	<b>\$1,100,000</b>	<b>\$0</b>	<b>\$825,000</b>	<b>\$275,000</b>	<b>75%</b>
<b>Total Revenues and Transfers</b>	<b>\$2,401,400</b>	<b>\$0</b>	<b>\$1,673,027</b>	<b>\$728,373</b>	<b>70%</b>
10010-General Administrative	\$18,420	\$165	\$3,593	\$14,663	20%
10050-Minor Capital Outlay	\$2,810	\$0	\$232	\$2,578	8%
11911-Truscott Affordable Housing - City Owned	\$492,950	\$71,516	\$322,079	\$99,355	65%
43040-Property Management	\$150,600	\$0	\$75,300	\$75,300	50%
81200-Capital Projects	\$1,087,807	\$446	\$256,762	\$830,599	24%
91003-2009 GO Bonds - Housing Truscott I	\$709,200	\$0	\$26,600	\$682,600	4%
<b>Total Expenditures</b>	<b>\$2,461,787</b>	<b>\$72,126</b>	<b>\$684,565</b>	<b>\$1,705,095</b>	<b>28%</b>
61110-General fund overhead	\$127,000	\$0	\$95,250	\$31,750	75%
65505-Transfer to Employee Housing Fund	\$2,970	\$0	\$1,238	\$1,733	42%
<b>Transfers Out</b>	<b>\$129,970</b>	<b>\$0</b>	<b>\$96,487</b>	<b>\$33,483</b>	<b>74%</b>
<b>Total Expenditures and Transfers</b>	<b>\$2,591,757</b>	<b>\$72,126</b>	<b>\$781,053</b>	<b>\$1,738,578</b>	<b>30%</b>
<b>Net Change in Fund Balance</b>	<b>(\$190,357)</b>		<b>\$891,974</b>		



City of Aspen  
Year-to-Date Financials: Sep-20  
492-Marolt Housing Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
44711-Laundry	\$22,000	\$0	\$13,433	\$8,567	61%
44712-Parking fees	\$20,000	\$0	\$9,902	\$10,098	50%
45515-Refund of expenditures - Housing	\$35,000	\$0	\$16,428	\$18,572	47%
45610-Miscellaneous revenue	\$200	\$0	\$240	(\$40)	120%
46111-Pooled cash investment income	\$32,690	\$0	\$0	\$32,690	0%
46212-Rental income - seasonal	\$1,314,730	\$0	\$600,140	\$714,590	46%
46215-Late rent fees	\$2,000	\$0	\$950	\$1,050	48%
46221-Cafeteria lease	\$12,000	\$0	\$0	\$12,000	0%
46222-Music rooms rent	\$14,500	\$0	\$0	\$14,500	0%
<b>Non-Classified Revenue</b>	<b>\$1,453,120</b>	<b>\$0</b>	<b>\$641,094</b>	<b>\$812,026</b>	<b>44%</b>
<b>Total Revenues</b>	<b>\$1,453,120</b>	<b>\$0</b>	<b>\$641,094</b>	<b>\$812,026</b>	<b>44%</b>
<b>Total Revenues and Transfers</b>	<b>\$1,453,120</b>	<b>\$0</b>	<b>\$641,094</b>	<b>\$812,026</b>	<b>44%</b>
10010-General Administrative	\$7,020	\$3,173	\$2,950	\$897	42%
10050-Minor Capital Outlay	\$740	\$0	\$336	\$404	45%
11913-Marolt Affordable Housing	\$375,340	\$95,035	\$260,072	\$20,233	69%
43040-Property Management	\$157,800	\$0	\$79,013	\$78,787	50%
81200-Capital Projects	\$430,500	\$0	\$166,993	\$263,507	39%
<b>Total Expenditures</b>	<b>\$971,400</b>	<b>\$98,208</b>	<b>\$509,363</b>	<b>\$363,828</b>	<b>52%</b>
61110-General fund overhead	\$50,100	\$0	\$37,575	\$12,525	75%
65150-Transfer to Affordable Housing Fund	\$1,700,000	\$0	\$1,275,000	\$425,000	75%
65505-Transfer to Employee Housing Fund	\$2,770	\$0	\$1,154	\$1,616	42%
<b>Transfers Out</b>	<b>\$1,752,870</b>	<b>\$0</b>	<b>\$1,313,729</b>	<b>\$439,141</b>	<b>75%</b>
<b>Total Expenditures and Transfers</b>	<b>\$2,724,270</b>	<b>\$98,208</b>	<b>\$1,823,092</b>	<b>\$802,969</b>	<b>67%</b>
<b>Net Change in Fund Balance</b>	<b>(\$1,271,150)</b>		<b>(\$1,181,999)</b>		

## Internal Service Funds

501 - Employee Benefits Fund

505 - Employee Housing Fund

510 - Information Technology Fund

City of Aspen  
Year-to-Date Financials: Sep-20  
501-Employee Benefits Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
45521-Refund of expenditures - Stop loss	\$150,000	\$0	\$36,699	\$113,301	24%
45522-Refund of expenditures - Prescriptions	\$0	\$0	\$40,581	(\$40,581)	0%
45610-Miscellaneous revenue	\$0	\$0	\$74	(\$74)	0%
45711-Employee premiums	\$690,000	\$0	\$602,877	\$87,123	87%
45712-Employer premiums	\$4,635,000	\$0	\$3,841,050	\$793,950	83%
45721-COBRA revenues	\$0	\$0	\$11,734	(\$11,734)	0%
46111-Pooled cash investment income	\$63,880	\$0	\$0	\$63,880	0%
<b>Non-Classified Revenue</b>	<b>\$5,538,880</b>	<b>\$0</b>	<b>\$4,533,015</b>	<b>\$1,005,865</b>	<b>82%</b>
<hr/>					
<b>Total Revenues</b>	<b>\$5,538,880</b>	<b>\$0</b>	<b>\$4,533,015</b>	<b>\$1,005,865</b>	<b>82%</b>
<hr/>					
<b>Total Revenues and Transfers</b>	<b>\$5,538,880</b>	<b>\$0</b>	<b>\$4,533,015</b>	<b>\$1,005,865</b>	<b>82%</b>
<hr/>					
99971-Administrative Costs	\$209,800	\$35,699	\$95,043	\$79,058	45%
99972-Employee Health, Wellness and Safety	\$346,800	\$2,539	\$324,125	\$20,135	93%
99973-Reinsurance	\$650,000	\$0	\$254,777	\$395,223	39%
99974-Claims Paid	\$4,600,000	\$0	\$2,958,444	\$1,641,556	64%
<b>Total Expenditures</b>	<b>\$5,806,600</b>	<b>\$38,238</b>	<b>\$3,632,389</b>	<b>\$2,135,973</b>	<b>63%</b>
<hr/>					
<b>Total Expenditures and Transfers</b>	<b>\$5,806,600</b>	<b>\$38,238</b>	<b>\$3,632,389</b>	<b>\$2,135,973</b>	<b>63%</b>
<hr/>					
<b>Net Change in Fund Balance</b>	<b>(\$267,720)</b>		<b>\$900,626</b>		

City of Aspen  
Year-to-Date Financials: Sep-20  
505-Employee Housing Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
46111-Pooled cash investment income	\$14,860	\$0	\$0	\$14,860	0%
<b>Non-Classified Revenue</b>	<b>\$14,860</b>	<b>\$0</b>	<b>\$0</b>	<b>\$14,860</b>	<b>0%</b>
11933-Employee Housing Properties	\$165,180	\$0	\$180,112	(\$14,932)	109%
63000-Down Payment Assistance Program	\$0	\$0	\$33,624	(\$33,624)	0%
<b>Program Specific Revenue</b>	<b>\$165,180</b>	<b>\$0</b>	<b>\$213,736</b>	<b>(\$48,556)</b>	<b>129%</b>
<b>Total Revenues</b>	<b>\$180,040</b>	<b>\$0</b>	<b>\$213,736</b>	<b>(\$33,696)</b>	<b>119%</b>
64001-Transfer from General Fund	\$297,590	\$0	\$123,996	\$173,594	42%
64100-Transfer from Parks Fund	\$77,750	\$0	\$32,396	\$45,354	42%
64120-Transfer from Wheeler Fund	\$28,720	\$0	\$11,967	\$16,753	42%
64141-Transfer from Transportation Fund	\$11,050	\$0	\$4,604	\$6,446	42%
64152-Transfer from Daycare Fund	\$16,800	\$0	\$7,000	\$9,800	42%
64160-Transfer from Stormwater Fund	\$12,820	\$0	\$5,342	\$7,478	42%
64421-Transfer from Water Fund	\$55,150	\$0	\$22,979	\$32,171	42%
64431-Transfer from Electric Fund	\$29,270	\$0	\$12,196	\$17,074	42%
64451-Transfer from Parking Fund	\$31,970	\$0	\$13,321	\$18,649	42%
64471-Transfer from Golf Fund	\$16,250	\$0	\$6,771	\$9,479	42%
64491-Transfer from Truscott Housing Fund	\$2,970	\$0	\$1,238	\$1,732	42%
64492-Transfer from Marolt Housing Fund	\$2,770	\$0	\$1,154	\$1,616	42%
64510-Transfer from IT Fund	\$15,970	\$0	\$6,654	\$9,316	42%
<b>Transfers In</b>	<b>\$599,080</b>	<b>\$0</b>	<b>\$249,617</b>	<b>\$349,463</b>	<b>42%</b>
<b>Total Revenues and Transfers</b>	<b>\$779,120</b>	<b>\$0</b>	<b>\$463,352</b>	<b>\$315,768</b>	<b>59%</b>
11902-City Hall Armory	\$0	\$0	\$65	(\$65)	0%
11932-Housing Development Properties	\$0	\$0	\$218	(\$218)	0%
11933-Employee Housing Properties	\$161,410	\$22,035	\$84,860	\$54,515	53%
63000-Down Payment Assistance Program	\$60,000	\$0	\$19,541	\$40,459	33%
81200-Capital Projects	\$866,775	\$175,543	\$329,454	\$361,779	38%
<b>Total Expenditures</b>	<b>\$1,088,185</b>	<b>\$197,577</b>	<b>\$434,138</b>	<b>\$456,470</b>	<b>40%</b>
<b>Total Expenditures and Transfers</b>	<b>\$1,088,185</b>	<b>\$197,577</b>	<b>\$434,138</b>	<b>\$456,470</b>	<b>40%</b>
<b>Net Change in Fund Balance</b>	<b>(\$309,065)</b>		<b>\$29,214</b>		

City of Aspen  
Year-to-Date Financials: Sep-20  
510-Information Technology Fund

	Current Budget	Obligation	Actual	Remaining Balance	Actuals as Percent of Budget
44113-IT fees	\$81,500	\$0	\$0	\$81,500	0%
45512-Refund of expenditures - County	\$45,300	\$0	\$33,978	\$11,322	75%
45610-Miscellaneous revenue	\$0	\$0	\$35	(\$35)	0%
46111-Pooled cash investment income	\$10,340	\$0	\$0	\$10,340	0%
<b>Non-Classified Revenue</b>	<b>\$137,140</b>	<b>\$0</b>	<b>\$34,013</b>	<b>\$103,127</b>	<b>25%</b>
11860-Community Broadband	\$135,000	\$0	\$68,905	\$66,095	51%
<b>Program Specific Revenue</b>	<b>\$135,000</b>	<b>\$0</b>	<b>\$68,905</b>	<b>\$66,095</b>	<b>51%</b>
<b>Total Revenues</b>	<b>\$272,140</b>	<b>\$0</b>	<b>\$102,918</b>	<b>\$169,222</b>	<b>38%</b>
64001-Transfer from General Fund	\$1,339,000	\$0	\$1,004,250	\$334,750	75%
64100-Transfer from Parks Fund	\$209,200	\$0	\$156,900	\$52,300	75%
64120-Transfer from Wheeler Fund	\$150,700	\$0	\$113,025	\$37,675	75%
64141-Transfer from Transportation Fund	\$35,200	\$0	\$26,400	\$8,800	75%
64150-Transfer from Affordable Housing Fund	\$1,200	\$0	\$900	\$300	75%
64152-Transfer from Daycare Fund	\$47,000	\$0	\$35,250	\$11,750	75%
64160-Transfer from Stormwater Fund	\$2,800	\$0	\$2,100	\$700	75%
64421-Transfer from Water Fund	\$189,100	\$0	\$141,825	\$47,275	75%
64431-Transfer from Electric Fund	\$22,200	\$0	\$16,650	\$5,550	75%
64451-Transfer from Parking Fund	\$76,000	\$0	\$57,000	\$19,000	75%
64471-Transfer from Golf Fund	\$25,200	\$0	\$18,900	\$6,300	75%
<b>Transfers In</b>	<b>\$2,097,600</b>	<b>\$0</b>	<b>\$1,573,200</b>	<b>\$524,400</b>	<b>75%</b>
<b>Total Revenues and Transfers</b>	<b>\$2,369,740</b>	<b>\$0</b>	<b>\$1,676,118</b>	<b>\$693,622</b>	<b>71%</b>
00000-Non-Classified	\$83,510	\$0	\$0	\$83,510	0%
10010-General Administrative	\$138,610	\$0	\$97,266	\$41,344	70%
10050-Minor Capital Outlay	\$18,300	\$0	\$3,902	\$14,398	21%
11810-Workgroup Services	\$304,550	(\$1,080)	\$242,268	\$63,362	80%
11820-Network Services	\$478,880	(\$91)	\$351,530	\$127,441	73%
11830-Phone Services	\$82,630	\$0	\$60,138	\$22,492	73%
11840-Application Licenses	\$146,530	\$0	\$44,698	\$101,832	31%
11850-Help Desk	\$165,720	\$0	\$119,025	\$46,695	72%
11860-Community Broadband	\$96,670	\$0	\$88,076	\$8,594	91%
81200-Capital Projects	\$711,110	\$33,315	\$15,032	\$662,763	2%
<b>Total Expenditures</b>	<b>\$2,226,510</b>	<b>\$32,144</b>	<b>\$1,021,936</b>	<b>\$1,172,430</b>	<b>46%</b>
61110-General fund overhead	\$202,200	\$0	\$151,650	\$50,550	75%
65001-Transfer to General Fund	\$1,000	\$0	\$750	\$250	75%
65250-Transfer to Debt Service Fund	\$76,730	\$0	\$0	\$76,730	0%
65505-Transfer to Employee Housing Fund	\$15,970	\$0	\$6,654	\$9,316	42%
<b>Transfers Out</b>	<b>\$295,900</b>	<b>\$0</b>	<b>\$159,054</b>	<b>\$136,846</b>	<b>54%</b>
<b>Total Expenditures and Transfers</b>	<b>\$2,522,410</b>	<b>\$32,144</b>	<b>\$1,180,990</b>	<b>\$1,309,276</b>	<b>47%</b>
<b>Net Change in Fund Balance</b>	<b>(\$152,670)</b>		<b>\$495,128</b>		

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# CITY OF ASPEN

September 2020



# SECURITIES HELD

CITY OF ASPEN

As of September 30, 2020

Cusip	Description	Coupon	Maturity	Par value or shares	Historical cost	Trade date	Purchase yield	% Portfolio hist cost
<b>Corporate Bonds</b>								
90331HNP4	US BANK NA/CINCINNATI OH	3.150	04/26/2021	3,200,000.00	3,228,192.00	04/22/2019	2.69	2.40
084670BC1	BERKSHIRE HATHAWAY INC	3.750	08/15/2021	3,148,000.00	3,192,449.76	11/29/2018	3.20	2.38
94988J5T0	WELLS FARGO BANK NA	3.625	10/22/2021	2,900,000.00	2,988,218.00	01/24/2020	1.74	2.23
89236TDP7	TOYOTA MOTOR CREDIT CORP	2.600	01/11/2022	3,420,000.00	3,457,038.60	06/27/2019	2.16	2.57
037833CM0	APPLE INC	2.500	02/09/2022	4,000,000.00	4,100,280.00	03/27/2020	1.07	3.05
594918BA1	MICROSOFT CORP	2.375	02/12/2022	3,500,000.00	3,530,310.00	07/25/2019	2.02	2.63
90331HPC1	US BANK NA/CINCINNATI OH	2.650	05/23/2022	2,000,000.00	2,020,700.00	07/25/2019	2.27	1.50
<b>Total Corporate Bonds</b>				<b>22,168,000.00</b>	<b>22,517,188.36</b>		<b>2.12</b>	<b>16.77</b>
<b>Government Agencies</b>								
3134GBYE6	FEDERAL HOME LOAN MORTGAGE COR	1.750	10/27/2020	2,400,000.00	2,400,000.00	07/21/2017	1.75	1.79
3137EAEK1	FEDERAL HOME LOAN MORTGAGE COR	1.875	11/17/2020	3,000,000.00	2,995,470.00	11/28/2017	1.93	2.23
3130A3UQ5	FEDERAL HOME LOAN BANKS	1.875	12/11/2020	3,000,000.00	2,989,248.00	12/14/2017	2.00	2.23
3133EJCE7	FEDERAL FARM CREDIT BANKS FUNDING CORP	2.350	02/12/2021	4,600,000.00	4,549,722.00	07/30/2018	2.80	3.39
313383ZU8	FEDERAL HOME LOAN BANKS	3.000	09/10/2021	5,000,000.00	4,998,600.00	10/31/2018	3.01	3.72
3133EJT74	FEDERAL FARM CREDIT BANKS FUNDING CORP	3.050	11/15/2021	2,700,000.00	2,713,041.00	12/12/2018	2.88	2.02
313376C94	FEDERAL HOME LOAN BANKS	2.625	12/10/2021	3,000,000.00	3,001,140.00	01/14/2019	2.61	2.24
3135G0U92	FEDERAL NATIONAL MORTGAGE ASSOCIATION	2.625	01/11/2022	3,000,000.00	3,006,270.00	02/15/2019	2.55	2.24
3133EKN9	FEDERAL FARM CREDIT BANKS FUNDING CORP	2.330	10/18/2022	4,000,000.00	3,995,400.00	04/11/2019	2.36	2.98
3135G0T94	FEDERAL NATIONAL MORTGAGE ASSOCIATION	2.375	01/19/2023	2,000,000.00	1,992,200.00	03/14/2019	2.48	1.48
3133EKK2	FEDERAL FARM CREDIT BANKS FUNDING CORP	2.250	02/08/2023	5,000,000.00	5,002,100.00	05/22/2019	2.24	3.73
313383QR5	FEDERAL HOME LOAN BANKS	3.250	06/09/2023	4,125,000.00	4,349,070.00	06/19/2019	1.82	3.24
3135G0U43	FEDERAL NATIONAL MORTGAGE ASSOCIATION	2.875	09/12/2023	4,950,000.00	5,146,267.50	07/25/2019	1.87	3.83
3134GVVD7	FEDERAL HOME LOAN MORTGAGE COR	0.500	11/14/2023	2,900,000.00	2,900,000.00	05/13/2020	0.50	2.16
3130A3VC5	FEDERAL HOME LOAN BANKS	2.250	12/08/2023	2,900,000.00	2,991,236.90	01/30/2020	1.41	2.23
3134GV4M7	FEDERAL HOME LOAN MORTGAGE COR	0.500	01/08/2024	2,500,000.00	2,500,000.00	07/06/2020	0.50	1.86
3135G0V34	FEDERAL NATIONAL MORTGAGE ASSOCIATION	2.500	02/05/2024	3,000,000.00	3,084,780.00	11/12/2019	1.80	2.30
3136G4Z97	FEDERAL NATIONAL MORTGAGE ASSOCIATION	0.375	02/28/2024	2,000,000.00	1,998,000.00	08/28/2020	0.40	1.49
3135G0V75	FEDERAL NATIONAL MORTGAGE ASSOCIATION	1.750	07/02/2024	4,000,000.00	4,009,600.00	12/09/2019	1.69	2.99
3134GV4S4	FEDERAL HOME LOAN MORTGAGE COR	0.450	07/08/2024	2,500,000.00	2,500,000.00	07/06/2020	0.45	1.86
3136G4P31	FEDERAL NATIONAL MORTGAGE ASSOCIATION	0.450	08/19/2024	2,000,000.00	1,999,500.00	08/28/2020	0.46	1.49
3135G0X24	FEDERAL NATIONAL MORTGAGE ASSOCIATION	1.625	01/07/2025	3,000,000.00	3,159,375.00	09/17/2020	0.38	2.35
<b>Total Government Agencies</b>				<b>71,575,000.00</b>	<b>72,281,020.40</b>		<b>1.85</b>	<b>53.83</b>
<b>Government Bonds</b>								
912828A42	UNITED STATES TREASURY NOTE/BOND	2.000	11/30/2020	2,000,000.00	2,025,625.00	06/27/2017	1.61	1.51
912828N48	UNITED STATES TREASURY NOTE/BOND	1.750	12/31/2020	4,000,000.00	4,029,531.25	09/01/2017	1.52	3.00
912828WG1	UNITED STATES TREASURY NOTE/BOND	2.250	04/30/2021	4,000,000.00	4,105,312.50	09/08/2017	1.50	3.06

# SECURITIES HELD

CITY OF ASPEN

As of September 30, 2020

Cusip	Description	Coupon	Maturity	Par value or shares	Historical cost	Trade date	Purchase yield	% Portfolio hist cost
9128284P2	UNITED STATES TREASURY NOTE/BOND	2.625	05/15/2021	4,000,000.00	3,992,343.75	08/14/2018	2.70	2.97
9128285F3	UNITED STATES TREASURY NOTE/BOND	2.875	10/15/2021	3,000,000.00	3,024,853.80	01/29/2019	2.56	2.25
912828XG0	UNITED STATES TREASURY NOTE/BOND	2.125	06/30/2022	4,100,000.00	4,054,369.20	02/27/2019	2.47	3.02
912828L57	UNITED STATES TREASURY NOTE/BOND	1.750	09/30/2022	2,000,000.00	1,964,928.58	04/29/2019	2.29	1.46
9128285Z9	UNITED STATES TREASURY NOTE/BOND	2.500	01/31/2024	2,900,000.00	3,014,187.50	09/25/2019	1.56	2.24
<b>Total Government Bonds</b>				<b>26,000,000.00</b>	<b>26,211,151.58</b>		<b>2.03</b>	<b>19.52</b>
<b>Municipal/Provincial Bonds</b>								
650035J82	NEW YORK STATE URBAN DEVELOPMENT CORP	2.900	03/15/2021	2,000,000.00	2,066,520.00	08/01/2017	1.94	1.54
13063DDF2	STATE OF CALIFORNIA	2.500	10/01/2022	1,700,000.00	1,736,312.00	10/22/2019	1.75	1.29
60412AKS1	STATE OF MINNESOTA	2.020	10/01/2022	2,500,000.00	2,556,700.00	04/13/2020	1.08	1.90
977100CZ7	STATE OF WISCONSIN	2.049	05/01/2023	4,000,000.00	4,018,840.00	11/25/2019	1.91	2.99
64966QBZ2	CITY OF NEW YORK NY	2.080	08/01/2023	2,000,000.00	2,021,320.00	09/10/2019	1.79	1.51
576051VX1	MASSACHUSETTS WATER RESOURCES AUTHORITY	1.862	08/01/2024	865,000.00	865,000.00	10/10/2019	1.86	0.64
<b>Total Municipal/Provincial Bonds</b>				<b>13,065,000.00</b>	<b>13,264,692.00</b>		<b>1.71</b>	<b>9.88</b>
<b>Grand Total</b>				<b>132,808,000.00</b>	<b>134,274,052.34</b>		<b>1.91</b>	<b>100.00</b>



# ADDITIONAL INFORMATION

## As of September 30, 2020

Past performance is not a guide to future performance. The value of investments and any income from them will fluctuate and is not guaranteed (this may partly be due to exchange rate changes) and investors may not get back the amount invested. Transactions in foreign securities may be executed and settled in local markets. Performance comparisons will be affected by changes in interest rates. Investment returns fluctuate due to changes in market conditions. Investment involves risk, including the possible loss of principal. No assurance can be given that the performance objectives of a given strategy will be achieved. The information contained herein is for your reference only and is being provided in response to your specific request and has been obtained from sources believed to be reliable; however, no representation is made regarding its accuracy or completeness. This document must not be used for the purpose of an offer or solicitation in any jurisdiction or in any circumstances in which such offer or solicitation is unlawful or otherwise not permitted. This document should not be duplicated, amended, or forwarded to a third party without consent from Insight. This is a marketing document intended for professional clients only and should not be made available to or relied upon by retail clients.

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Where indicated, performance numbers used in the analysis are gross returns. The performance reflects the reinvestment of all dividends and income. INA charges management fees on all portfolios managed and these fees will reduce the returns on the portfolios. For example, assume that \$30 million is invested in an account with INA, and this account achieves a 5.0% annual return compounded monthly, gross of fees, for a period of five years. At the end of five years that account would have grown to \$38,500,760 before the deduction of management fees. Assuming management fees of 0.25% per year are deducted monthly from the account, the value at the end of the five year period would be \$38,022,447. Actual fees for new accounts are dependent on size and subject to negotiation. INA's investment advisory fees are discussed in Part 2A of its Form ADV.

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For trading activity the Clearing broker will be reflected. In certain cases the Clearing broker will differ from the Executing broker.

In calculating ratings distributions and weighted average portfolio quality, Insight assigns U.S. Treasury and U.S. agency securities a quality rating based on the methodology used within the respective benchmark index. When Moody's, S&P and Fitch rate a security, Bank of America and Merrill Lynch indexes assign a simple weighted average statistic while Barclays indexes assign the median statistic. Insight assigns all other securities the lower of Moody's and S&P ratings.

Information about the indices shown here is provided to allow for comparison of the performance of the strategy to that of certain well-known and widely recognized indices. There is no representation that such index is an appropriate benchmark for such comparison. You cannot invest directly in an index and the indices represented do not take into account trading commissions and/or other brokerage or custodial costs. The volatility of the indices may be materially different from that of the strategy. In addition, the strategy's holdings may differ substantially from the securities that comprise the indices shown.

The BofA Merrill Lynch 3 Mo US T-Bill index is an unmanaged market index of U.S. Treasury securities maturing in 90 days that assumes reinvestment of all income.

The BofA Merrill Lynch 6 Mo US T-Bill index measures the performance of Treasury bills with time to maturity of less than 6 months.

The BofA Merrill Lynch Current 1-Year US Treasury Index is a one-security index comprised of the most recently issued 1-year US Treasury note. The index is rebalanced monthly. In order to qualify for inclusion, a 1-year note must be auctioned on or before the third business day before the last business day of the month.

The BofA Merrill Lynch Current 3-Year US Treasury Index is a one-security index comprised of the most recently issued 3-year US Treasury note. The index is rebalanced monthly. In order to qualify for inclusion, a 3-year note must be auctioned on or before the third business day before the last business day of the month.

The BofA Merrill Lynch Current 5-Year US Treasury Index is a one-security index comprised of the most recently issued 5-year US Treasury note. The index is rebalanced monthly. In order to qualify for inclusion, a 5-year note must be auctioned on or before the third business day before the last business day of the month.

The BofA Merrill Lynch 1-3 US Year Treasury Index is an unmanaged index that tracks the performance of the direct sovereign debt of the U.S. Government having a maturity of at least one year and less than three years.

The BofA Merrill Lynch 1-5 US Year Treasury Index is an unmanaged index that tracks the performance of the direct sovereign debt of the U.S. Government having a maturity of at least one year and less than five years.

# ADDITIONAL INFORMATION

As of September 30, 2020

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